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Multimedia Retrieval

Chapter 4: Basic Image, Audio, and Video Retrieval

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4.1 Introduction

- With text and web retrieval, the descriptors for documents are the same as for user queries (words, phrases). Search performance is generally good even though we are just considering term occurrences. With other media types, it is no longer that simple. A user may want to query with natural language, but the documents do not contain keywords rather low-level signal information. This is known as the Semantic Gap.
 - Consider the image below. For a machine, it contains pixels each with a color code attached to it. In some cases, additional meta-information may exist. For a person, it depicts the Spalentor in Basel. When looking for the Spalentor in images, we need to translate the term "Spalentor" somehow to the low-level signal information (or vice-versa). But which patterns in the picture let a machine understand that this is a picture relevant for the query "Spalentor".
 - The semantic gap is the difference between the information extractable in an automated fashion from the raw image data and the interpretation of that same data by a person.
 - Also note that the semantic gap also depends on the person asking the question; for someone unfamiliar with Basel's history, the picture is simply an interesting piece of architecture.



- The same gap applies to audio files. A user is not expressing a query at the signal level (amplitude, frequencies, etc.) but at a semantic level: "find me a rock ballad" or "funny comedian".
- Humans interpret signal information in several steps:
 - 1. Perception we are not measuring the physical quantities but rather obtain a "biased" perception that helps us to further infer information.
 - The eye is responding to three color channels and luminance. The concept of color is merely
 an interpretation of our brain, but it is essential to the next steps. Both eyes combined provide a
 spatial perspective of the scenery.
 - The ear is responding to wave lengths and measures delays between the ears to infer direction of the sound. The pre-processed signal that reaches the brain is no longer physical quantities.
 - Generic Semantic Inference the brain interprets the perception and enriches it with semantic information. The first step is poorly generic and is focused on important aspects (person, animal, sky, faces). At this stage, information hiding prevents over-stimulation of reasoning.
 - Specific Semantic Inference with our knowledge, experience, cultural conditioning, and beliefs, we infer contextual semantics including named objects (Spalentor), events (Soccer match), and abstract concepts (emotions, spatial, time).
 - This step depends on the individual experience and knowledge of a person. You will infer different semantics for a picture of your mother than someone who does not know her.
 - To close the semantic gap, a machine must address each of the three levels. Content-Based Retrieval systems started with the perceptual level. Recently, deep learning made huge progress on the generic semantics and on the specific semantics. In between, we have classical retrieval on metadata obtained either by manual or automated processes. Metadata is matching the semantics of users much better and is still the dominating search paradigm.

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- A retrieval system must mimic the human's interpretation of the low-level signal
 - The raw media is mapped to low-level descriptors that summarize information on regions, color, texture, or points of interest. To be effective, we need to replicate human's perception.
 - Object recognition combines prototypical descriptors and infers regions/blobs of interest. Image segmentation yielding a number of objects but without any classification.
 - Object labeling associates classes or names to objects often using machine learning or statistical approaches. The labels correspond to the generic semantics of users but may still fail on the specific interpretation of users.
 - Semantics result from additional contextual information either derived from the objects and their relation or through meta-data and the usage of a knowledge base. The hardest part is to obtain the context (which is also not easy for humans).
- Again, the same applies to audio and video data.



- We distinguish between two feature types going forward
 - Low level features
 Low level features
 Most of the early Content-Based Retrieval System were focused on low-level
 Query by Example, Query by Sketch, or Query by
 Humming
 Humming and perform a comparison to find best matches (similarity search, nearest neighbor
 Search) The semantic gap
 Closed with regard to perception
 Closed with regard to perception
 Closed with regard to perception
 - High level features address generic, specific, and abstract semantic meaning. We can distinguish between object, spatial, temporal, and event/activity information. Further information encompasses related concepts/objects, abstract concepts, and context. For instance, let us consider the following picture of the Taj Mahal:



Object Facet	Value
Generic Object Instance	building, water, sky
Generic Object Class	mausoleum, tomb, dome, minaret
Specific Named Object Class	UNESCO World Heritage Site (since 1983)
Specific Named Object Instance	Taj Mahal

- Taj Mahal (contd)

Spatial Facet	Value
Generic Location	outside
Specific Location Hierarchy	India, Uttar Pradesh, Agra

Event / Activity Facet	Value
Generic Event/Activity	tourism, attraction
Specific Event Instance	International World Heritage Expert Meeting on Visual Integrity in 2006



Temporal Facet	Value
Generic Time	summer, daytime
Specific Time	2006 (photo taken)

Contextual Facet	Value
Торіс	Indian Architecture
Related Concepts / Objects	Shah Jehan, Mumtaz Mahal, Islam
Abstract Concept	love, death, devotion, remembrance
Context	built in memory of his favorite wife Mumtaz Mahal, by Shah Jehan; completed 1648

 In summary, to close the semantic gap, we need to extract descriptors at different levels allowing a user to ask semantic queries. In this chapter, we start with the lower levels. The next chapter addresses some of the higher levels.



4.2 Similarity Search

- Content-based Retrieval Systems operate only with low-level features and hence struggle more with closing the semantic gap between user queries and the extracted information.
 - Extract Meta-Data and perform classic text or web retrieval. This is the dominant method used by most search engines on the web and multimedia repositories. The signal information is considered partially, but the focus is on key words and structural information extracted from the object or its embedding. We will consider meta-data extraction in the next section. The semantic gap is closed by automatically or manually associating key words to the media object such that the user can naturally search for objects.
 - Query by Example / Query by Sketch (Humming) requires the user to provide (or sketch, sing) an example of what she looks for. The example or sketch is mapped to perceptual features and search is performed based on similarity scoring in that feature space. In combination with relevance feedback, the user is able to adjust her query during the search session. The semantic gap is closed by queries in the same perceptual space.



- In the following, we briefly overview the similarity search problem (more details in Chapter 6).
 - Similarity search works on the descriptors obtained from the raw media files. We already have seen the extraction of textual features in the previous chapters. For images, audio and video files, we will study algorithms that describe a particular perceptual aspect, often in the form of a multi-dimensional feature vector. Examples:



audio files



- The definition of a similarity scoring function depends on the feature design. Hence, there is not a single measure or best-practice but individual metrics depending on the following aspects
 - Segmentation: we can divide a media file into segments. For instance, objects in an image, time windows in an audio or video file, sequence and shots in a video. Feature extraction either describes the entire media file (global descriptor) or apply only to segments (local/temporal descriptor). The similarity functions for local descriptors may include partial match query, while the function on global descriptors can not do so.
 - Invariances: feature design focuses on the extraction of robust descriptors. Robustness denotes the ability of a descriptor to remain the same (or change only little) given transformations of the original media file. For example, an image descriptor is scale invariant, if the value does not change significantly if the image is scaled up or down. Similarly, an audio descriptor is invariant to background noise, if the extracted information (e.g., speech) is not impacted if background noise is added or eliminated. Invariances impact the selection of a similarity function, especially if the similarity definition is based on a different set of invariances than the underlying features.
 - Normalization:
 before combining
 For instance, if we deal with 10-dimensional feature vectors and use and operation of a second stance to describe similarity, the ranges of all dimensions with the large range dominate to ones with small ranges.
 and correlation analysis. Assume again the 10-dimensional feature vector: if several dimensions strongly correlate, the Euclidean distance grows faster for changes of these correlated values (the difference becomes replicated in multiple dimensions) than in uncorrelated dimensions.
 Dimensionality reduction (Propared to adjust for the correlation.

- A very common method to measure similarity is through a distance function. Assume we have a feature space \mathbb{R}^d with d dimensions. A query Q is mapped into this feature space yielding a feature vector $q \in \mathbb{R}^d$. The same mapping leads to feature vectors $p_i \in \mathbb{R}^d$ for each of the media objects P_i . In case of uncorrelated dimensions, a weighted L_k -norm is a good selection to measure distances
 - The weights are chosen such that the ranges of all dimensions become comparable. Several strategies exist to compute the weights. Here are two examples:

$$w_j = \frac{1}{\max_i p_{i,j} - \min_i p_{i,j}}$$

$$w_j = \frac{1}{\sigma_i}$$
 with σ_j being the standard deviation of values in dimension j

- The distance between the query vector $m{q}$ and media vector $m{p}_i$ is then:
 - *L*₁-norm or Manhattan distance:
 - *L*₂-norm or Euclidean Distance:

$$\delta(\boldsymbol{q}, \boldsymbol{p}_i) = \sum_j w_j \cdot \left| q_j - p_{i,j} \right|$$

$$\delta(\boldsymbol{q}, \boldsymbol{p}_i) = \sqrt{\sum_j w_j^2 \cdot (q_j - p_{i,j})^2}$$

- L_k -norm or k-norm:
- L_{∞} -norm or Maximum norm:

$$\delta(\boldsymbol{q}, \boldsymbol{p}_i) = \sqrt[k]{\sum_j w_j^k \cdot (q_j - p_{i,j})^k}$$

$$\delta(\boldsymbol{q}, \boldsymbol{p}_i) = \max_j (w_j \cdot |q_j - p_{i,j}|)$$

- For correlated dimensions, we can use a quadratic function with a matrix $\mathbf{A} \in \mathbb{R}^d$ that compensates correlation. In this case, weights are already factored into the correlation matrix:
 - Quadratic function:

 $\delta(\boldsymbol{q},\boldsymbol{p}_i) = (\boldsymbol{q} - \boldsymbol{p}_i)^{\mathsf{T}} \mathbf{A} (\boldsymbol{q} - \boldsymbol{p}_i)$

The following visualization shows all distance measures. The blue area depicts the neighborhood areas around the centers of the areas (e.g., a query vector):



- Example for weights: consider the following two dimensions
 - In dimension d_1 , all values are between 0 and 1.
 - In dimension d_2 , all values are between 100 and 200.

If we would apply an **unweighted** distance function, **dimension** d_2 would **dominate dimension** d_1 . In other words, regardless of how close the features are in dimension d_1 , only the difference in dimension d_2 really matters. Similarity is hence based (almost) entirely on dimension d_2 . With the weights, we can normalize the different ranges along dimensions. Note that all metrics are based on differences so that the absolute values do not matter if ranges are similar.

- Searching for the most similar object translates to a search for the object with the smallest distance, the so-called nearest neighbor. We note the reversed relationship between similarity values and distances:
 - large distances correspond to low similarity values
 - small distances correspond to high similarity values

We can express similarity search as a nearest neighbor search:

Nearest Neighbor Problem:

- Given a vector *q* and a set ℙ of vectors *p_i* and a distance function δ(*q*, *p_i*)
- Find $p_i \in \mathbb{P}$ such that: $\forall j, p_j \in \mathbb{P}: \delta(q, p_i) \le \delta(q, p_j)$



- If we want to obtain similarity values from the distances, we need a so-called correspondence function h. Let σ(q, p_i) denote a similarity function between query vector q and a media vector p_i. The following properties must hold:
 - $\sigma(q, p_i)$ is in the range [0,1]
 - $\sigma(q, p_i) = 0$ denotes total dissimilarity between query vector q and a media vector p_i
 - $\sigma(q, p_i) = 1$ denotes maximum similarity between query vector q and a media vector p_i
 - The correspondence function translates between distances and similarity values as follows

 $\sigma(\boldsymbol{q},\boldsymbol{p}_i) = h(\delta(\boldsymbol{q},\boldsymbol{p}_i)) \qquad \qquad \delta(\boldsymbol{q},\boldsymbol{p}_i) = h^{-1}(\sigma(\boldsymbol{q},\boldsymbol{p}_i))$

- It must fulfil the following constraints
- h(0) = 1
- $h(\infty) = 0$
- $h'(x) \leq 0$ (*h* must be a decreasing function)
- The best method to build a correspondence function is to use the distance distribution p_{δ} . We obtain the mapping by integrating the distribution function up to the given distance and subtract that value from 1. This guarantees that all constraints hold true:



4.3 Metadata Extraction

- There is a simple way to close the semantic gap annotate the media files with keywords and derive higher-level semantic features similar to the techniques we have seen in text and web retrieval. In this context, the meta data is a low-level feature in the form of structured or unstructured text, while the terms extracted and the reasoning on the terms denote the higher level features (which are not inferred directly from the raw signal).
- However, it costs about \$50 to \$100 to annotate an image with the necessary level of detail and quality. With the billions of images and the limited revenue generation from such annotations, this clearly is not an attractive path. Or would you pay \$100'000 for the 1'000 photos from your last vacation? Clearly not. So we need a cleverer approach to automate annotations as much as possible. This is not always feasible.
- We can divide meta data roughly into two groups:



There are many standards for metadata description is RDF, Dublin Core, Dublin Core Metadata initiative and others that define standards how to anotate media files. They all are part of the semantic web initiatives meta-tag in the header holds all meta information about the current web page. Is formation

 meta-tag in the header holds all meta information about the current web page. Is formation
 <meta <meta aname="description" content="text">

name	content		
description	short description of web page		
keywords	keywords associate with page		
abstract	short narrative of content		
author	author of this page		
contact	contact person for this page		
copyright	name of owner		
dc.language	language of page (e.g., using RFC1766 and ISO 639)		
dc.source	reference to page from which this page is derived		
dc.creator	creator information for page		
12 more Dublin core tags and even more DCMI tags possible			

- In the context of multimedia content, the web offers more information than the simple meta information in the header section. Similar to what we have seen in web retrieval, links and embedding in pages offer further sources for meta data
 - Link information (example: img-tag and a-tag)





- The alt-attribute in the img-tag is a good source for a caption. Sometimes the file name yields additional keywords of interest
- Hypertexts annotate the referenced image (like we did for web pages) with additional keywords. These annotations contain keywords at different semantic levels. If an image is frequently referenced, we may find a complete description of the content from various perspectives and covering a wide range of user specific semantics.

A good source for keywords is the surrounding area on the web page. If we look before and after the image we find title, caption, and relevant keywords for the image. The same applies to links (also within the same page) to media objects. The surrounding area holds many interesting aspects.

• What means surrounding? and how far does it stretch? This may also lead to false annotations



- Extracting information from the web page (basics)
 - The meta information of the web page is a good source for descriptors of an embedded image. In addition, headings or table headers before the image may contain further relevant information. The larger the document, the less likely such association may hold true
 - The window (in terms of characters in the HTML file) around the embedding holds many text pieces of potential relevance for the image. The size of the window must be carefully chosen to avoid wrong associations. Alternatively, we can weigh terms inversely to their distance to the embedding tag.





- An alternative approach uses visual closeness to annotate objects:
 - Instead of defining the neighborhood in the source code, it is defined by the proximity in the visual layout of the page (distance as perceived by reader)
 - Implementation:
 - Render the page and define core blocks on the page given the core structural elements (div, p, table, form, ...)
 - Compute distances between these blocks and the embedded object. The distance can be any measure like pt or pixel.
 - Add penalties to the distance if there is a (visual) delimiter between the blocks. For instance, a line separating table cells. Column boundaries in a multi-column layout. Other blocks in between.
 - Define a neighborhood and add all blocks intersecting with that neighborhood. Use the distance as a weigh for the terms found within a block. Apply further weighting based on visual attributes such as bold, italic, header,
 - Summarize descriptions with bag-of-words approach and associate it to the image.

- A more targeted approach is to "scrape" information on media objects especially if they are highly standardized and categorized in mages this is hardly achievable and only for sets of official catalogues. But for music and videos additional annotations for your music library to be able to find songs by keywords. A good starting point is MusicBrainz.org which catalogues a large portion of published songs and is entirely public domain (you can download the entire database).
 - Example below: for every song in a media library, we can extract information about the artist, about albums and releases, and about individual songs and interpretations of it. Using services like LyricWiki, we can obtain a full description of high-level semantics for our songs. If you combine several services, you can easily complete the descriptions of your media library.
 - Both IMDb and TMDb offer similar services for movies and series. TMDb is a community built database and free to use (with usage restrictions as per license agreement)



- MPEG-7 is an ISO standard for multimedia content defined by the Motion Picture Expert Group in 2002. In contrast to MPEG-1, MPEG-2, and MPEG-4, the encoding format MPEG-7 is not about a new compression algorithm but focuses on meta information and its description
 - MPEG-7 defines a language to store meta information to
 - describe any multimedia document (images, audio files, video files)
 - describe possible descriptors and their relationships to each other
 - define descriptors
 - encode descriptors and prepare them for later indexing
 - The standard does not include:
 - the concrete implementations of feature extraction algorithms to not hinder development
 - filter and search algorithms to scan through MPEG-7 data
 - MPEG-7 bridges content provider and search engines with a standardized representation. It is the essential semantic glue between feature extraction and search engine. In the following, we look at the individual elements of the standard and how it fits into our model.



liaInformation>	
<mediaidentification> <identifier idname="MPEG7ContentSet" idorganization="MPEG"> mpeg7_content:news1 </identifier> </mediaidentification>	Administrative I
<mediaprofile></mediaprofile>	
<mediaformat> <pre><fileformat>MPEG-1</fileformat> <system>PAL</system> <medium>CD</medium> <color>color</color> <sound>mono</sound> <filesize>666.478.608</filesize> <length>00:38</length> <audiochannels>1</audiochannels> <audiocoding>AC-3</audiocoding> </pre></mediaformat> <mediacoding> <framewidth>352</framewidth> <frameheight>288</frameheight> <framerate>25</framerate> <compressionformat>MPEG-1</compressionformat> </mediacoding>	Media Propert
<mediainstance> <locator> <mediaurl>file://D:/Mpeg7_17/news1.mpg</mediaurl> </locator> </mediainstance>	

• Continuation of the technical meta data part:

<Creation>

```
<Creator>
   <role>presenter</role>
   <Individual>
       <GivenName>Ana</GivenName>
       <FamilyName>Blanco</FamilyName>
   </Individual>
</Creator>
<CreationDate>
   1998-06-16
</CreationDate>
                                                                            Creation Information
<CreationLocation>
   <PlaceName xml:lang="es">Piruli</PlaceName>
   <Country>es</Country>
   <AdministrativeUnit>Madrid</AdministrativeUnit>
</CreationLocation>
<Publisher xsi:type="Organization">
    <Name>TVE</Name>
   <ContactPerson> .... </ContactPerson>
</Publisher>
```

```
</Creation>
```

Now let us consider the subject meta data for the example:	
<title type="original"></title>	
<titletext xml:lang="es"> Telediario (segunda edición)</titletext>	
 <titleimage> Title, Captions</titleimage>	
<mediaurl>file://images/teledario_ori.jpg</mediaurl> 	
<title type="alternative"></title>	
<titletext xml:lang="en"> Afternoon news</titletext>	
<pre> file://images/teledario_en.jpg </pre>	
<structuredannotation></structuredannotation>	
<who>Fernado Morientes</who>	
CSLocation='www.eurosport.xxx/cs/soccer/'> scoring goal	
 <when>Spain Sweden soccer match</when>	
<textannotation xml:lang="en-us"> This was the first goal of this match.</textannotation>	

nd the final part of subject meta data:	
amples SemanticLabel="baldheaded man walking" Length="3" Confidence="1.0" DescriptorName="ColorHistogram">	
<pre><descriptor> 4617 11986 938 2628 458 1463 5178 2258 444 134 69 456 9300 2810 121 21 14 18 48 107 277 53 47 1926 8281 793 38 11 0 5 201 28 0 1 1 2 23 252 122 6 3 433 1517 46 1 1 0 0 0 0 0 0 0 0 2 55 13560 3326 678 221 1610 5602 916 32 8 1 21 58 11 1 0 0 2 61 331 179 14 7 2388 6213 51 0 0 0 0 0 0 0 0 0 0 0 2 337 243 0 0 220 194 0 0 0 0 0 0 0 0 0 0 0 383 3172 1072 51 20 91 128 0 0 0 0 0 2 4 0 0 0 0 89 757 694 0 0 217 39 0 0 0 0 0 0 0 0 0 0 0 0 0 912 210 0 0 0 0 0 0 0 0 0 0 0 0 0 0 55 </descriptor></pre>	Descriptions
<pre><descriptor></descriptor></pre>	
1764 18807 725 816 553 1784 7133 1325 81 3 8 110 5621 2323 34 11 0 3 12 82 156 26 11 700 3060 63 7 0 0 0 1 0 0 1 0 0 16 95 40 4 0 16 20 1 0 0 0 0 0 0 0 0 0 0 17 13534 3211 523 126 1123 5181 347 37 0 0 0 5 8 2 1 0 2 17 261 168 3 0 997 2635 3 0 0 0 0 0 0 0 0 0 2 292 39 0 0 17 1 0 0 0 0 0 0 0 0 0 0 0 0 157 861 430 3 0 26 14 0 0 0 0 0 0 0 0 0 0 0 21 608 215 0 0 81 1 0 0 0 0 0 0 0 0 0 0 0 373 37 0 0 0 0 0 0 0 0 0 0	Descriptions
<pre><descriptor> 9742 15760 1455 2216 475 1356 4771 2328 714 329 193 420 6954 6087 298 15 15 22 35 119 74 115 24 1253 7629 352 14 5 1 3 85 99 0 0 0 0 0 11 0 6 0 335 717 9 0 0 0 0 0 0 0 0 0 0 0 0 12332 3066 991 157 1048 4836 469 14 1 0 0 160 80 4 0 0 0 13 217 101 53 0 2450 6070 12 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0</descriptor></pre>	Descriptions
3450 6079 12 0 0 0 0 0 0 0 0 0 0 0 0 0 338 64 0 0 0 0 0 0 0 0 0 0 0 0 2439 718 15 0 81 41 0 0 0 0 0 0 0 0 0 0 0 0 65 0 0 0 447 43 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	

- Discussion: a good summary of the challenges around meta data is given by Cory Doctorow which he calls the seven insurmountable obstacles between the world as we know it and meta-utopia:
 - **People lie.** Metadata cannot be trusted because there are many unscrupulous content creators who publish misleading or dishonest metadata in order to draw traffic to their sites.
 - People are lazy. Most content publishers are not sufficiently motivated to carefully annotate all the content that they publish.
 - People are stupid. Nost content publishers are not intelligent enough to effectively catalog the potent they produce.
 - Mission impossible know thyself. Metadata on the web cannot be trusted because there are many content creators, the inadventently publish misleading metadata.
 - Schemas aren't neutral. Sassification schemes are subjective.
 - Metrics influence results. Descripting metadata standards bodies will never agree.
 - There's more than one way to describe something. Rejource description is subjective.
 - Do we ignore meta data, then? Of course not, but we need to be careful what we are doing with the information provided. After all, a lot of the meta data can be extremely useful if the quality is right (see for instance MusicBrainz.org).
 - Observational meta data (automatically generated while crawling the web) is useful if it is hard to game the system (see PageRank as a good example).
 - Need to take the trustworthiness of the data provider into account. Google did so by trusting the users that link to a page more than the author of that page.

4.4 Features for Images

We first look at **low-level feature extraction** from images based on the raw signal information. The process is divided into four steps:



- Image Normalization depends on the data sets and includes a number of pre-processing steps including noise elimination, normalization of signal information, adjustments and corrections of the raw data. For example, when analyzing frames in an interlaced video sequence, deinterlacing is a typical step to reduce combing effects that interfere with feature extraction
- Image Segmentation partitions the image into sub-areas for which perceptual features are extracted. We distinguish between global features (for the entire image) and local features (for a region within the images). If we have local features, the aggregation step (4) is necessary to obtain a global feature for the image.
- Feature Extraction describes the signal information based on perceptual aspects such as color, texture, shape, and points of interest. For each category, a number of methods exists with different invariances (e.g., robustness against scaling, translation, rotation). We do not consider labeling of images in this chapter (see the next chapter for high-level features)
- Feature Aggregation summarizes perceptual features to construct a final descriptor (or a set of descriptors). The aggregation often uses statistical approaches like mean values, variances, covariances, histograms, and distribution functions. With local features, we can further derive statistical measure across the regions (e.g., self-similarity, mean values, variances, covariances). In the following we often discuss feature aggregation together with the feature extraction method.

- Feature Design: before we design features, we need to define the desired invariance properties of the feature. For instance:
 - Translation invariant: (small) shifts of the picture have no significant impact on feature values
 - Rotation invariant: rotations of the image have no significant impact on feature values
 - Scale invariant: up- or down-sampling does not change the feature value. Note that scale differences are very common due to different image resolutions. In the absence of a normal sized scale, it is even more important to demand scale invariance
 - Lightning invariant: Adjustments of lightning (daylight, artificial light, brightness adjustments, gamma corrections) have no significant impact on feature values
 - Noise robustness: noise, JPEG artefacts, quantization errors, or limited color gamut have no significant impact on feature values

We already have discussed global vs local features as a further invariance constraint.

4.4.1 Visual Perception and Processing

- Let's first consider how we perceive and process visual information. Perception of light is the result of illumination of an object and the amount of illumination that is reflected by the objects in front of us:
 - Illumination l(x, y, z) is the amount of lumens per square meter (=lux). Lumen is a measure of energy per second modelled along the eye's sensitivity range of light.
 - Reflectance r(x, y, z) is the amount of illumination reflected by the surface of objects. Reflectance is a function of wavelength, absorption, and direction of illumination.

Typical illuminance and reflectance values are given below:

Illuminance (lux)	Surfaces illuminated by
0.0001	Moonless, overcast night sky
0.05–0.36	Full moon on a clear night
20–50	Public areas with dark surroundings
50	Family living room lights
100	Very dark overcast day
320–500	Office lighting
400	Sunrise or sunset on a clear day.
1000	Overcast day; typical TV studio lighting
10,000–25,000	Full daylight (not direct sun)
32,000-100,000	Direct sunlight



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- The eye receives light and translates the wavelengths into electro-chemical impulses
 - The cornea, pupil, and lens form an adaptive optical system to focus on objects (distance) and adjust to light exposure (aperture). The lens works like an ordinary camera and projects an (upside-down) image of the world onto the retina at the back side of the eye.
 - The retina consists of three cone types and rods; they are the photoreceptors that transform incoming light energy into neural impulses. The cones enable color vision, specialize on different wavelength ranges, and are very frequent in the center of vision (macula and fovea)
 - L-cone (long wavelength) peak at 564nm corresponding to the color red
 - M-cone (medium wavelength) peak at 534nm corresponding to the color green
 - S-cone (short wavelength) peak at 420nm corresponding to color blue The rods perform better at dimmer light and are located at the periphery of the retina. They focus

on peripheral vision and night vision.



- 6 million cones and 120 million rods 1% S-cones (blue) 39% M-cones (green) ratios can greatly vary fovea cones focused around rods fill periphery
- Visual Acuity
 Visual Acuity
 clarity of vision
 20/20 vision
 <l

Ratio	Metric	Snellen	Arcminutes	Standard			
2,0	6/3	20/10	0.5′	Snellen		1	20/200
1,33	6/4,5	20/15	0.75′	Chart			
1,0	6/6	20/20	1′		FΡ	2	20/100
0,8	6/7,5	20/25	1.25′	1.4' or less is	тог	3	20/70
0,67	6/9	20/30	1.5′	drive a car	LPED	4	20/50
0,5	6/12	20/40	2'		PECFD EDFCZP	5 6	20/40 20/30
0,4	6/15	20/50	2.5′		FELOPZD	7	20/25
0,2	6/30	20/100	5'		DEFPOTEC	8	20/20
0,1	6/60	20/200	10′		L E F O D F C T F D F L T C E O	9 10	
0,05	6/120	20/400	20'		PEZOLCFTD	11	



The comparison with animals visual acuity of 20/100 less cone types (blue at 450nm and yellow at 550nm) cats have better night vision (6-8 times) Dogs are dichromatic (blue/yellow) with visual acuity of 20/75 Elephants 20/200 rodents 20/800 bees 20/1200 flies 20/10800



On the other side eagles and bird of prey have 20/4 vision (5 times better than the average human and to some birds are tetrachromatic one types violet range with a peak at about 370m.

 Conclusion: our color vision is a sensation but not physics. To understand how we perceive images, we need to follow the way the human eye (and brain) processes light.



- The first processing starts within the retina (rods and cones release glutamate when its dark, and stop releasing glutamate when its light (rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate when its light for several rods and cones release glutamate glu
 - On-Bipolar cells, fire when it is bright
 - Off-Bipolar cells, do not fire when it is bright

The next stage, the **Ganglion Cells** build the first receptive fields combining various bipolar cells. In a nutshell, they perform edge detection with a center and a surround area.

- On-Center ganglion fires, if center is bright and surrounding is dark
- Off-Center ganglion fires, if center is dark and surrounding is bright

Several additional cell types (horizontal cells, amacrine cells) act as inhibitors to accentuate contrast. This increased contrast can also lead to falsely under-/oversaturating dark/light boundaries. Lateral inhibition provides negative feedback to neighbor cells to further strengthen the contrast between strong and weak signals. This can lead to so-called after-images.



- Lateral Geniculate Nucleus (LGN)

 receptive field functions
 with

 massive feedback from the cortex
 with

 the brain visual right is processed by the left side)
 with

 of the brain visual right is processed by the left side)
 with

 Second with information of both eyes is combined
 detection of movements

 and contrast. The next 4 layers process information form
 maximum contrast.
- The Primary Visual Cortex (V1) detection of edges, orientation. Neurons in the visual cortex fire when defined patterns occur within their receptive fields. In the lower levels, the patterns are simpler; in higher levels, more complex patterns are used (e.g., to detect a face). The stream of information flows along two paths to higher levels.
 - The Ventral Stream (ventral=underside, belly) specializes on form recognition and object representation. It is connected with the long-term memory.
 - The Dorsal Stream (dorsal=topside, back) focuses on motion and object locations, and coordinates eyes, heads, and arms (e.g., reaching for an object)
- Cortical magnification denotes the fact that the majority of neurons act on the information in the center of vision (creating a much denser, magnified view of the center)



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The visual perception system is optimized for natural image recognition. Artificial illusions demonstrate very nicely how the brain processes the perceived environment in many ways:



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4.4.2 Image Normalization (Step 1)

In image processing, an image is usually described as a discrete function mapping a 2-dimensional coordinate to an intensity value (gray images) or a color value. We will use the function i(x, y) and i(x, y) to denote such images:



- It is custom to start with the upper left pixel (x = 1, y = 1) and to end with the lower right pixel (x = N, y = M). x denotes the row in the image (vertical axis), while y denotes the column in the image (horizontal axis).
- Quantization is often applied to avoid fixed point numbers in the image representation.
 Quantification is an approximation of the fixed point number as follows:



- Other quantization with indexed colors exist but can be mapped to one of the above.

- Depending on the data collection, we need to perform a number of image processing steps to normalize the data sets and to achieve the best results when comparing features afterwards. Some of the processing steps ensure robustness against noise, rotation, color saturation, or brightness which are essential for the algorithms to work.
 - Rotation not have enough information to normalize direction rotate image in defined steps of degrees
 Image in defined steps of degrees
 - Histogram normalization here, histogram means the distribution of brightness across the image. In poor sensing condition, the range of values can be very narrow, making it difficult to distinguish differences. Histogram equalization is the extreme case, where the range of values is forced to a uniform distribution. The picture on the right values in image

shows very nicely the increased contrast and the sharper contours of objects. With the original picture, edge detection may not lead to the expected results. Similar approaches are histogram shifts (lighter, darker), histogram spreading, or gamma correction.

 Grayscale transformation – The original color image is transformed to a grayscale image.
 Depending on the source color model, different formulae define how to calculate the gray value.
 Often applied before texture and shape analysis as color information is not needed.



- Scaling –
 fit a defined range of acceptable sizes. shape or bilinear or bicubic interpolation to avoid
 down sampling
 to reduce efforts. mapped back to the original
- Affine Transformation The generalization of translation, rotation and scaling. The original coordinates (x, y) are mapped to a new pair (x', y') as follows:

 $\begin{bmatrix} x'\\y'\\1 \end{bmatrix} = \begin{bmatrix} a_{1,1} & a_{1,2} & a_{1,3}\\a_{2,1} & a_{2,2} & a_{2,3}\\0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x\\y\\1 \end{bmatrix}$

With this matrix representation, we can simplify the concatenation of various operators to obtain a single matrix again. To improve results, blinear or bicubic interpolation is needed to estimate pixel values in the new matrix. Note: the affine transformation above does not necessarily map to a discrete and positive coordinate systems, and some areas in the new image space may have unknown values (think about a rotation by 45 degrees mapped to minimum bounding box).

– Noise Reduction / Sensor Adjustments – Sensors, transcoding and digitization can add noise (think of white and black pixels across the image) that can significantly impact the feature extraction process. Common methods are mean filter or Gaussian filters as described next. Other adjustments may include color corrections, distortions, moiré patterns or compression artifacts.

- Convolution is a mathematical operation that combines two functions to produce a new function.
It is similar to the cross correlation but considers values betweeds and regrates them. The discrete two functions is a mathematical operation but considers values betweeds and regrates them. The discrete two functions is a mathematical operation but considers values betweeds and regrates them. The discrete two functions is a mathematical operation but considers values betweeds and regrates them. The discrete two functions is a mathematical operation but considers values betweeds and regrates them. The discrete two functions is a mathematical operation but considers values betweeds and regrates them. The discrete two functions is a mathematical operation of the discrete two functions is a mathematical operation of the function with range [-K, K] × [-K, K] with small values K = 1, 2, 3, 4, ..., f(x, y) =
$$\sum_{n=-K, m=-K}^{K} f[x-n][y-m] \cdot g[n][m]$$

(f * g)[x, y] = $\sum_{n=-K, m=-K}^{K} f[x-n][y-m] \cdot g[n][m]$
As a visual zero mathematical operation we calculate the convolution of a discrete two functions are provided by the formula operation of the image (x = y = 2). For example, the discrete the convolution of a discrete two functions are provided by the discrete two functions are provided by the formula operation operation operations are provided by the discrete two functions are pro

• Kernel Examples: (taken from Wikipedia for illustration purposes). When defining a Kernel, it is important to normalize the output by the sum of all Kernel values, otherwise channel values may exceed the defined boundaries ([0,1] or, if quantized, [0,255]).



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4.4.3 Image Segmentation (Step 2)

 Feature design may include the capturing of location information (much like we did with position information in text retrieval). Segmentation define areas of interest within the image for which the features are computed. To obtain overall features for the image three different ways are possible:



each segment are combined to form an overall feature for the image. This approach is only meaningful for pre-defined segmentations but not for object related segmentation with varying number of segments.

c) Statistical Summary – the features are summarized with statistical operators like mean, variance, co-variance, or distribution functions. The statistical parameters describe the image.
 If the segmentation only yields one segment (global features), all methods become identical.

- We can segment images with three approaches (actually the first one does nothing)
 - Global features require the entire image as input. No segmentation occurs. This approach is
 often the standard in absence of a clear segmentation task. We will see later that with temporal
 media like audio and video, global features are very rare but quite common for still images.
 - Static Segmentation uses a pre-defined scheme to extract areas of interest from the image.
 There are two reasons for such a segmentation
 - Add coarse location information to the features. Typically, an image consists of a central area (the object) and four corner areas (as shown on the right). But any type of regular and potentially overlapping division is possible. Often, this method is combined with the concatenation of features to encode left/right, up/down, or center within the feature.
 - Process parts of the query image to detect similar features. We use a sliding window that moves from upper left to lower right in defined steps. For each position, features are extracted and used to find matches. For example, when detection faces the sliding window technique allows to find many faces together with their location from a given input picture (see next chapter).
 - Object Segmentation extracts areas with embedded objects in the picture (so-called blobs). These blobs are either analyzed individually or as a part of the image. Often, feature sets are used to enable individual retrieval of the blobs. We will study such an approach in the next chapter (k-means clustering).





- Example: 9-dimensional color feature with 5 static segments
 - Segmentation creates 5 areas for each of which a 9-dimensional feature is extracted



- The feature for the image has 45-dimensions and encode localized color information. To be similar with the above picture, the colors not only have to occur in a similar way but they also have to be in the same area. On the other side, we loose some invariances, like rotation. An upside-down version of the picture does not match with itself. On the other side, a blue lake does not match with the blue sky, a white background (snow) does not match with the white dress (center), and an object on the left does not match with the same object on the right.
- We will see, that a single feature is often not sufficient to find similar pictures. Rather, we need to construct several (very similar) features to encode the different choices for variance and invariance. Segmentation, obviously, can both eliminate location information (for instance feature sets), enforce location (feature concatenation), or is liberal about the position (statistical summary and feature set).

4.4.4 Feature Extraction – Color Information (Step 3 & 4)

- We split the third step, feature extraction, into color, texture and shape information. We start with color in this subsection.
- Color perception is an approximation of the eye to describe the distribution of energy along the wavelength of electromagnetic signals. "Approximation" because the distribution cannot be described accurately with only 3 values, hence most information is lost. It is possible two construct two different spectra which are perceived exactly the same.



Given the emitted or reflected spectrum of light of an observed point $f(\lambda)$, we perceive 3 (4) values for each cone type (and rod). To compute the intensity, we apply the sensitivity filter of the cones (e.g., $c_{red}(\lambda)$) to the observed spectrum (multiplication) and integrate the result over all wavelengths. For instance, for red this is:

$$red = \int_{0}^{0} f(\lambda) \cdot c_{red}(\lambda) d\lambda$$

On the other side, this approximation allows us to artificially re-create the perception with using only 3 additive components emitting wavelengths that match the sensitivity of the red, green, and blue cones. These 3 components form the basis of the RGB family which is optimized for human perception but may not work for the eyes of animals (different sensitivity ranges; for birds with tetrachromatic perception, the UV range is missing).

Before we can extract features, we need to find a good representation for color that matches human perception. Consider the four colors below in the sRGB space. Between two neighboring boxes, the color distance is 100 units (only one channel changes). Even though the distance is the same, we perceive the color changes differently. The change from green to yellow (1st and 2nd) is significant, while the change from red to pink (3rd to 4th) is smaller. The reason is the non-linear interpretation of sRGB space as we process the light emission from the monitor (or from the reflection of the paper).



- There are five major color systems (we only look at the first three models subsequently)
 - CIE created by the International Commission on Illumination (CIE) to define a relation between the physical signal and the perception of a (standard) human observer
 - **RGB** the dominant system since the definition of sRGB by HP and Microsoft in 1996
 - HSL/HSV which translates the cartesian RGB coordinates to cylindrical coordinates for hue and saturation, and uses luminance/brightness as third component
 - YUV used in NTSC and PAL signals and basis of many image and compression algorithms such as JPEG and MPEG (using YCbCr) [not discussed subsequently]
 - CMYK used in printing to subtract color from an initially white canvas. The ink absorbs light and a combination of different inks produces the desired color [not discussed subsequently]

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- The CIE defined a series of color spaces to better describe perceived colors of human vision mathematical relationships are essential for advanced color management.
- The CIE XYZ space was defined in 1931 as an attempt to describe human perceived colors. In their experiments, they noted that observers perceive green as brighter than red and blue colors with the same intensity (physical power). In addition, in low-brightness situations (e.g., at night) the rods dominate with a monochromatic view but at much finer resolution of brightness changes.
 - The definition of *X*, *Y* and *Z* does not follow the typical approach of additive or subtractive primary colors. Instead, *Y* describes the luminance while *X* and *Z* describe chromaticity regardless of brightness. *Y* follows the sensitivity for the M-cones (green), *Z* the one of the S-cones (blue), and *X* is a mix of cone responses.
 - To colorimetric) observer average human's chromatic response within a 2 degree arc inside the fovea color matching functions $\bar{x}(\lambda)$, $\bar{y}(\lambda)$ and $\bar{z}(\lambda)$ describe spectral weighting spectral weighting



$$X = \int_{380}^{780} f(\lambda) \cdot \bar{x}(\lambda) d\lambda \qquad \qquad Y = \int_{380}^{780} f(\lambda) \cdot \bar{y}(\lambda) d\lambda \qquad \qquad Z = \int_{380}^{780} f(\lambda) \cdot \bar{z}(\lambda) d\lambda$$

4.4.4 Feature Extraction - Color Information (Step 3 & 4)

- The three cone types of human vision require 3 components to describe the full color gamut. The concept of color can be divided into different aspects:
 - Brightness visual perception of the radiating or reflected light and dependent on the luminance of the observed object. It is, however, not proportional to the luminance itself, instead it is an interpretation subjective to the observer.
 - Chromaticity objective specification of the color in absence of luminance. It consists of two
 independent components, hue and saturation. Chromaticity diagrams depict the visible or
 reproducible range of colors. The standard chart is depicted on the right side.
 - Hue describes the degree a color matches the perception of red, green, blue, and yellow. The hue values are on the boundary of the chromaticity diagram and is usually measured as a degree from the neutral white point (e.g., D65). Red corresponds to 0, yellow to 60, green to 120, and blue to 240.
 - Saturation / Chroma / Colorfulness measure how much the light is distributed across the visual spectrum. Pure or saturated colors focus around a single wavelength at high intensity. To desaturate a color in a subtractive system (watercolor), one can add white, black, gray, or the hue's complement. In the chromaticity diagram, saturation is the relative distance to the white point. Relative means in terms of the maximum distance



in that direction. Note that green is much farther away from white than red and blue.

 The CIE then defined a series of color models to better capture the above components of color perception. We consider in the following the CIE xyY, Lab, and LCH model. The CIE xyY space, defined in 1931, was the first attempt to isolate chromaticity from luminance. The *Y* value of CIE XYZ was created in such a way that it represents perceived luminance of the standard observer. The *x*, *y* and *z* components are derived through a normalization

$$x = \frac{X}{X + Y + Z} \qquad \qquad y = \frac{Y}{X + Y + Z} \qquad \qquad z = \frac{Z}{X + Y + Z} = 1 - x - y$$

The derived color space consists of *x*, *y*, and *Y*. The *x*, *y* values define the chromaticity diagram as shown in the lower right part of the page (color in absence of luminance). CIE xyY is widely used to specify color. The compasses all visible colors of the standard observer. Note that the pictures of the chromaticity diagram here is depicted in the sRGB space an hence does not show the full gamut of the space. Given the *x*, *y* and *Y* values, the back transformation is as follows:

$$X = \frac{Y}{y}x \qquad \qquad Z = \frac{Y}{y}(1 - x - y)$$

The outer curve of the chromaticity diagram, the so called spectral locus, show wavelengths in nanometer. The CIE xyY space describes color as perceived by the standard observer. It is not a description of the color of an object as the perceived color of the object depends on the lightning and can change depending on the color temperature of the light source. In dim lightning, the human eye looses the chromaticity aspect and is reduced to a monochromatic perception.



- CIE xyY spans the entire color gamut that is visible for a human eye, but it is not perceptually uniform uniform.
 CIE L*a*b* color space is a mathematical approach to define a perceptually uniform color space.
 - The *L* component denotes lightness. It depends on the luminance *Y* but adjusted to perception to create a uniform scale (1 unit difference is perceived as the same lightness change). It typically ranges between 0 and 100, with L = 0 representing black, and L = 100 being white.
 - The *a** component represents the red/green opponents. Negative values correspond to green, while positive values correspond to red. The values often range from -128 to 127. *a** = 0 denotes a neutral gray.
 - The b* component represents the blue/yellow opponents. Negative values correspond to blue, while positive values correspond to yellow. The values often range from -128 to 127. b* = 0 denotes a neutral gray.

The transformation from X, Y, Z components under illuminant D65 and $0 \le Y \le 255$ is:

$$L^* = 116 \cdot f\left(\frac{Y}{Y_n}\right) - 16$$

$$a^* = 500 \cdot \left(f\left(\frac{X}{X_n}\right) - f\left(\frac{Y}{Y_n}\right)\right)$$

$$f(t) = \begin{cases} \sqrt[3]{t} & \text{if } t > \left(\frac{6}{29}\right)^3 \\ \frac{841 \cdot t}{108} + \frac{4}{29} & \text{otherwise} \end{cases}$$

$$b^* = 200 \cdot \left(f\left(\frac{X}{X_n}\right) - f\left(\frac{Z}{Z_n}\right)\right)$$

$$X_n = 242.364495$$

$$Z_n = 277.67358$$

$$Y_n = 255.0$$

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4.4.4 Feature Extraction - Color Information (Step 3 & 4)

The CIE LCH differs from CIE L*a*b* by the use of cylindrical coordinates. L = L* remains, but a* and b* are replaced by the chroma C (saturation, colorfulness) and hue H. Based on the definition of the a*- and b*-axis, the center is at the defined white point (e.g., D65). The hue H is then the angle from the a*-axis (counterclockwise). The chroma C is the distance from the center.

$$L = L^* \qquad C = \sqrt{(a^*)^2 + (b^*)^2} \qquad H = \arctan(a^*, b^*) \qquad \arctan(a^*, b^*) \qquad \arctan(a^*, b^*) \text{ is the arc tangent of } b^*/a^* \\ \frac{\arctan(a^*, b^*)}{\text{taking the quadrant of } (a^*, b^*) \text{ into account}}$$

- This is not the same as the better known HSL/HSV color models (also use cylindrical coordinates). These models are a polar coordinate transformation of the RGB color space, while CIE LCH is a polar coordinate transformation of CIE L*a*b*.
- CIE LCH is still perceptually uniform. However, *H* is a discontinuous function as the angle abruptly changes from 2π to 0. This can cause some issues if the angles are not correctly "subtracted" from each other.
- The CIE has defined further models like the CIE L*u*v*, CIE RGB, and the CIE UVW which we omit here.

- The **RGB** color space is the standard model in computing since HP and Microsoft cooperatively • defined sRGB as an additive color model for monitors, printers and the Internet. It has been
 - sRGB uses the ITU-R BT.709 (or Rec. 709) primaries to define the color gamut (space of 0.9 The corners of the 520

Chromaticity	Red	Green	Blue	White Point (D65)
x	0.6400	0.3000	0.1500	0.3127
У	0.3300	0.6000	0.0600	0.3290
Y	0.2126	0.7152	0.0722	1.0000

- For non-negative values, sRGB colors are bound to the triangle depicted in the right-hand figure. Note that the color
- The sRGB scales are non-linear (approximately a gamma of 2.2). To convert from linear RGB to sRGB, the specification provides functions to map channel values. Let c_{sRGR} denote a channel value (red, green, blue) in the sRGB space, and c_{linear} denote a value in linear RGB.

$$c_{sRGB} = \begin{cases} 12.92 \cdot c_{linear} & \text{if } c_{linear} \le 0.0031308 \\ 1.055 \cdot c_{linear}^{\frac{1}{2.4}} - 0.05 & \text{otherwise} \end{cases} \qquad c_{linear} = \begin{cases} \frac{c_{sRGB}}{12.92} & \text{if } c_{sRGB} \le 0.04045 \\ \left(\frac{c_{sRGB} + 0.055}{1.055}\right)^{2.4} & \text{otherwise} \end{cases}$$

triangle denote the primary colors

580

600

620

0.7

0.8

560

D65

540

0.8

0.7

0.6 500

0.5 v

0.4

0.3

0.2

0.1

0.8

470

0.1

0.2

0.3

0.4

0.5

0.6

The conversion from CIE XYZ to linear RGB is as follows:

[r _{linear}]	[3.240479	-1.537150	-0.498535] [<i>X</i>]	[X]	[0.412453	0.357580	0.180423] [^r linear]
glinear =	= -0.969256	1.875992	0.041556 Y	Y =	0.212671	0.715160	0.072169 <i>Glinear</i>
[b _{linear}]	l 0.055648	-0.204043	1.057311][<i>Z</i>]	$\lfloor Z \rfloor$	L0.019334	0.119193	0.950227][b _{linear}]

- Note that the transformation above is a mapping between linear RGB and XYZ. To obtain sRGB values, a further transformation is needed (see previous page).
- Also note that the RGB space is not covering the entire XYZ space and the visible colors of human perception. If the mapping leads to values outside of [0,1], the value is mapped to the closest limit (0 for negative values, and 1 for values ≥ 1).
- RGB values quantized to integer ranges.
 true color (32-bit)
 2^{bits} reference colors (color palette)
- Next to the sRGB and linear RGB model, various alternatives were defined. In essence, it is simple to construct an RGB space by defining the primaries and the white point. Alternative RGB model extend the original, rather constrained sRGB to a wider range of color gamut. For instance, Rec. 2020 for ultra-high-definition television (UHDTV). It has a much broader color gamut than HDTV which is based on Rec. 709. Some RGB models even excess the chromaticity chart to cover more of the green/blue area.



• Artists the start with a relatively bright color and add a) white to "tint" to b) black to "shade" to c) white and black (gray) to tone **HSL** and **HSV** color models designed to simplify color models thue (*H*) and chroma (*S*) to define chromaticity. **HSL** uses lightness (*L*) and fully saturated colors at L = 1/2. tinting ($L \rightarrow 1$) and shading ($L \rightarrow 0$) without change of saturation. HSV uses value (*V*) and fully saturated colors at V = 1.



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•

uniform distribution. For instance, in the HSV color space, we can divide the color hexagon into areas of perceived similar colors like on the right side. The V-dimension may have more bins to account for the increased brightness sensitivities. With 7 chromaticity values and 9 bins along the V-dimension, we obtain 63 reference colors c_i .

Color Histogram: histograms are a simple way to describe the distribution of colors using a set of

reference colors. The fixed reference colors are the "vocabula " of the collection. The color of each

If the color space itself is uniform, like in L*a*b*, then we can use uniform ranges. The L^* -axis should have more ranges than the a^* - and

pixel is mapped to the nearest reference color, then we count h

• The most simple way is to quantize the R, G, B values in the linear RGB space as on the right hand side. With 2 bits, for example, A

obtain 4 uniform ranges along each channel, and a total of 64 reference colors c_i with $1 \le i \le 64$. We can use any number of

in the image. To make the feature scale invariant, the obunts

pixels. The result can also be interpreted as the probability

Selection of reference colors

reference colors occur

by the total number of



4.4.4 Feature Extraction - Color Information (Step 3 & 4)

We can measure the distance $d_{i,i}$ between reference color c_i and c_i to denote similarities

between colors. In cartesian coordinates, this is the Euclidean distance between the centers of

the areas representing the colors. In cylindrical coordinates, like the HSV example above, we obtain angle differences as min($|\alpha - \beta|, 2\pi - |\alpha - \beta|$) and apply a Manhattan distance. In all cases, value ranges have to be normalized before distance calculations (e.g., to range [0,1])

22° 45° 70° 155° 186° 278° 330°

- Comparison of histogram (distance measure)
 - Let h_i and g_i denote the normalized histograms colors c_i with $0 \le h_i$, $g_i \le 1$. Note that even though wred grave ber of set or ago an barace for quantization, the histograms are one-dimensional (through enumeration of reference colors). We also have the distances $d_{i,i} = d_{i,i}$ between two reference colors c_i and c_i .
 - A first naïve approach is to compute a Manhattan (or Euclidean) distance between histograms

This distance formulae work qui he do not take similarity between reference colors into account. A small shift in lightni or representation can yield large distances.

 To account for cross-correlation between <u>deference</u> coors, we need to use a quadratic distance measure and use a matrix A which is based on the disorguage ween reference colors:

$$\delta_{quadratic}(\boldsymbol{h},\boldsymbol{g}) \neq (\boldsymbol{h}-\boldsymbol{g}) \boldsymbol{A}(\boldsymbol{h}-\boldsymbol{g})$$

$$; a_{i,j} = 1 - \underbrace{d_{i,j}}_{\substack{k,l}} \xrightarrow{\text{Distance normaximum displays a strain of reference}}_{\text{max} d_{k,l}}$$

rmalized by stance for all ence colors

30%

If the user provides a sketch as the query, or the user selects a number of colors that should be present in the picture, histogram intersections (equals to a partial match query) are better user selected colors and $g_i = 0$ the colors without user input.

 $\delta_{intersection}(\boldsymbol{h}, \boldsymbol{g}) = \frac{\sum_{i=1}^{N} \min(h_i, g_i)}{\min(|\boldsymbol{h}|, |\boldsymbol{g}|)}$

- Variants:
 - A simpler variant is the use first step brightness histograms the chromaticity aspects are not taken into account. A first step brightness or luminance calculated, for instance, with *L** from CIE L*a*b*. The luminance value is quantized using *N* uniform ranges. The rest is identical to the appropriate above (including quadratic diverses of the second for similarities between brightness of the luminance value). The resulting features describes brightness of the image and is often use of the toto in videos (different lightning denotes shot boundary).
 - Equally, we can only quantize the chromaticity aspects and disregard brightness/luminance. Candidate color baces are EL*a b, CIE LCH, HSL, and the rescaled features describes color distribution and is evariant to lightning (as long as the restance) does not significantly impact the perception of chromaticity).
- Discussion:
 - Histograms are very simple and yield already good results. Mey are robust against translation, rotation, noise, and scale; in some cases, also against lightning differences.
 - The lack of spatial relation between colors may lead to unexpected results blue lake (bottom of the picture) will match with a blue sky (top of the picture) and a blue car (middle of the picture). It is simple to construct two images with the same histogram but different content.
 - The histogram intersection method is useful to guide a retrieval system to the desired color of (main) objects. The user can pick a color and the search is extended with a histogram supquery using the intersection method.
 - Color histograms tend to have a very high-dimensionality of dimensions is often a minimum for good retrieval, but more than (000) limensions can result. Search in such spaces is costly and inefficient. Dimensionality record on may help to deal with both correlation of reference colors and the reduction of dimensions (see principal component analysis, PCA).

- - Single channel moments compute statistical parameters for one channel only (L^*, a^*, b^*) denote a control channel, N denote the number of rows, and M the number of columns, then the first four moments are given as:



Mean μ_c and variance v_c describe the peak position and width of the peak in the distribution. The skewness s_c describes whether peak is wider to the left or to the right. And Kurtosis k_c denotes the presence of outliers (far away from mean). With the channels, we obtain 12 feature values in this way.

We can add additional covariance values between pairs of channels.
 We can add additional covariance values between pairs of channels.
 We can add additional covariance values between pairs of channels.

$$cov_{c_1,c_2} = \frac{1}{N \cdot M} \sum_{x,y} (c_1(x,y) - \mu_{c_1}) \cdot (c_2(x,y) - \mu_{c_2})$$

Q

When calculating the moments, it is possible to transform the formulas such that only one pass is
necessary to compute all the values (a denotes a color channel);



Using the CIE $[^*a^*b^*]$ of space, we obtain 12 moments and 3 covariances, a total of 15 feature values. We can combine the values into a vector m (in a defined order) and compare to feature vectors m_i and m_j of two images using either Euclidean or Manhattan distances.

$$\delta_{Manhattan}(\boldsymbol{m}_{i}, \boldsymbol{m}_{j}) = \sum_{k=1}^{15} |\boldsymbol{m}_{i,k} - \boldsymbol{m}_{j,k}| \qquad \delta_{Euclidean}(\boldsymbol{m}_{i}, \boldsymbol{m}_{j}) = \sqrt{\sum_{k=1}^{15} (\boldsymbol{m}_{i,k} - \boldsymbol{m}_{j,k})^{2}}$$

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- Variants: like with histograms, we can construct moments for brightness/luminance becomes obsolete and we obtain 4 bits statements of the chromaticity aspect disregarding brightness/luminance. In this case we have 8 moments and one covariance values, resulting in a 9 dimensional feature.
- Discussion:
 - The value ranges of moments vary significantly and the vestion apply a distance measure, we

Need to scale the values into the same range (e.g., [0,1]). Due to the differences in the distance measure, it is sufficient to just scale the values either by $ma_{1}c_{1}$ and tac_{2} component, or the standard deviation of the values along his dimension (not to be confused with the variance color moments; the standard deliation is taken from the adjust value along each moment). We can obtain the solution from a large enough simple set zoob section along the constant factors when extracting the reatures.

- Color moments, like histograms, are robust against translation, rotation, noise, and scale; in some cases, also against lightning differences. The lack of spatial relation between colors may lead to unexpected results (like with histograms).
- In contrast to histograms, the color moments are independent from each other and we do not need a cross-consistion matrix for a quadratic distance function. The reading sectors are also much shorter (15 if all moments are taken) than the histograms (up to 1000 bins possible). The compact representation leads to obvious performance gains but no loss in retrieval quality.

4.4.5 Feature Extraction – Texture Information (Step 3 & 4)

ns as per t

- Texture describe the structure of a surface or part of the image and provides us with information about the spatial arrangement of colors, changes in this arrangement, and the direction and frequency of these changes. We can analyze texture in three ways:
 - Structural approach: Find sets of primitive so-called texels that are composed to regular and

This approach is limited to artificially generated images and does not work for natural mages. The inverse problem of creating texture on the surface of objects is well supported by today's graphic processors (see texels, and Voronoi tessellation).

- Statistical approach: Measure the arrangements in the neighborhood of pixels, quantify them, and create statistical summaries (histograms, moments). We will look at edge detection and optimized filters to get texture features.
- Fourier approach: Transform the image into the frequency space via Fourier transformation and extract information about the support for so-called Gabor filters in the frequency space.
- Often, we study texture only in grayscale images. For that purpose, we can compute the Y or L* components in the CIE color models. Recall, that the original picture first needs to be transformed to linear RGB before computing the transformation to CIE XYZ and CIE L*a*b* (see sRGB → linear RGB). In the following, we assume monochromatic images with only a brightness/luminance channel. Advanced methods may also consider chromaticity information for textures.



$$g_{\max g}(x,y) = \sqrt{g_x(x,y)^2 + g_y(x,y)^2} \qquad \qquad g_{dir}(x,y) = \arctan\left(g_x(x,y), g_y(x,y)\right)$$

$$arctan(x,y) \text{ is the arc tangent of } y/x \text{ taking the quadrant of } (x,y) \text{ into account}$$

- With the above transformation, we obtain 2 values for each pixel in the image. The first value describes how large the change is (energy), the second value represents the direction of change (from darker to lighter). A value of $g_{dir} = 0$ is a vertical edge (change direction is normal to the edge) and the lighter pixel is on the right hand side.

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- We now can create simple texture based features.
 - Edgeness of image: Proportion of image with $g_{mag}(x, y) \ge \tau$ for a given threshold τ . This expresses how many edges we can expect on the picture with high enough energy. Continuous areas of them image with, for example, the sky or a lake will result in low values, while several objects or city images with lead to higher values.

Gradient Histograms quantify the direction and the magnitude calculated as (a - b) = (a - b) normalize energy and direction ranges to compute the distance $d_{i,j}$ between two reference gradients



As with color histograms, the same issues with high dimensionality occurs.

• Gradient Moments: as before, we compute moments for the magnitude and the direction, and a covariance value for magnitude and direction. Let *c* denote either magnitude or direction:



This results in 9 feature values describing the distribution of gradients.

• Laws' Texture Energy (structural approach)

 Laws texture masks compute 9 values for a pixel in the image to capture various aspects of texture features. The masks are based on 4 prototype vectors:



- From these base vectors, we can compute 16 matrices by multiplication of pairs of prototype vectors. For the instance E5L5, for instance, we obtain the Kernel matrix G_{E5L5} as follows:

$$\mathbf{G}_{E5L5} = \boldsymbol{v}_{E5}^{\mathsf{T}} \boldsymbol{v}_{L5} = \begin{bmatrix} -1 \\ -2 \\ 0 \\ 2 \\ 1 \end{bmatrix} \begin{bmatrix} 1 & 4 & 6 & 4 & 1 \end{bmatrix} = \begin{bmatrix} -1 & -4 & -6 & -4 & -1 \\ -2 & -8 & -12 & -8 & -1 \\ 0 & 0 & 0 & 0 & 0 \\ 2 & 8 & 12 & 8 & 2 \\ 1 & 4 & 6 & 4 & 1 \end{bmatrix}$$

Since E5L5 and L5E5 measure a similar aspects, we collapse them into a single Kernel and use the average of both matrices. With such reductions, we obtain 9 Kernel matrices:

$$\mathbb{G}_{T} = \left\{ \frac{\mathbf{G}_{E5L5} + \mathbf{G}_{L5E5}}{2}, \frac{\mathbf{G}_{L5R5} + \mathbf{G}_{R5L5}}{2}, \frac{\mathbf{G}_{E5S5} + \mathbf{G}_{S5E5}}{2}, \frac{\mathbf{G}_{S5L5} + \mathbf{G}_{L5S5}}{2}, \frac{\mathbf{G}_{E5R5} + \mathbf{G}_{R5E5}}{2}, \frac{\mathbf{G}_{S5R5} + \mathbf{G}_{R5S5}}{2} \right\}$$
$$\cup \left\{ \mathbf{G}_{S5S5}, \mathbf{G}_{R5R5}, \mathbf{G}_{E5E5} \right\}$$

- With these 9 Kernel matrices, we apply a convolution to obtain 9 texture energy values $e_i(x, y)$ per pixel (with $1 \le i \le 9$). From here, we can apply the same approaches as before:
 - Histograms: although feasible, we are faced here with 9 values per pixel. If we quantize them with 4 ranges, we obtain 4⁹ = 262,144 reference energies. This clearly exceeds our expectations of a computationally meaningful feature, especially, if we consider the necessity of a quadratic function. Using only 2 ranges yields 2⁹ = 512 reference energies. Acceptable, but the quantification error is significant.
 - Moments: for each energy value, we can calculate 4 moments, and co-variance values for the 36 possible pairs. This yields a 72 dimensional feature vector. If the dimensionality is too high, we can reduce the number of moments (only first 2 or 3) or omit the co-variances.

• Gabor Moments (Fourier approach)

The 2D Fourier transformation maps a (grayscale) image into its frequency space. More formally, it creates a real and imaginary matrix. For the visualizations, we can compute the log of the sum of squared components (the log-function helps for visualization of the large differences in energy). The 2D Fast Fourier Transformation is an accelerated version of the algorithm reducing computational efforts significantly. However, it is only applicable to image sizes of 2^a × 2^b. The picture bellow depicts the transformation:



 To display the frequencies such that low frequencies are in the middle and high frequencies in the outer areas, we need to map the quadrants of the matrix as per below:



Examples for the frequency map: The pictures below show the grayscale original images, and the log-scaled frequency map; the brighter a pixel, the more energy for the corresponding frequency. Low frequencies are in the center, high frequencies in the out areas. The direction from the center to the frequency denotes the normal of an edge in the image for that frequency.



In the Fourier space, we apply a bank of so-called Gabor filters that select different ranges of frequencies and directions. The Gabor filter is multiplied with the Fourier transformation of the image (a complex matrix), and the result is mapped back via inverse Fourier transformation (here the fast implementation iFFT) to the image space. The filtered image now provides information about the support for the selected frequencies and directions in the original image space. Using banks with 5 orientations and 3 scales, we have 15 Gabor filters and obtain 15 different filtered images. We extract statistical moments for each of these filters to obtain a wide range of texture descriptors. The following pages show the filter banks and its application in the Fourier space.



 The Gabor filter is defined as a Gaussian kernel multiplied by a complex sinusoid. In Neurophysiological experiments, it was shown that the Gabor filters, with the right parameters, behave similar to the receptive fields in the primary visual cortex. Its definition is as follows



Before application to the Gaussian and sinusoid, the coordinates are rotated by θ . With this definition and varying the parameters, it is possible to construct various filters that are sensitive to frequencies and direction. Mapping the Filter bank into the Fourier space leads to the following layout:



• Example (1)



• Example (2)




- There are two approaches to compute Gabor filtered images:
 - Fourier space: compute the Gabor filters in the Fourier space and apply them to the Fourier transformed image. To enable the use of FFT, the size of the image is scaled to the next higher 2^a × 2^b dimension with one of the following methods
 - Stretching: stretch the image to match the new size. This changes proportions and thus frequencies and directions in the image.
 - Filling: copy the image 1:1 and fill the remaining area with a neutral color.
 - Tiling: create a 2-by-2 tile of the same image and crop to the new size.
 - Mirroring: create a 2-by-2 tile, but mirror the image at the middle axis. This reduce hard edges that otherwise become visible as spikes. But it adds wrong directions.











Original

Stretching

Filling

Tiling

Mirroring

A further alternative: we use the next smaller $2^a \times 2^b$ dimension and apply the method 4 times for the $2^a \times 2^b$ areas in each corner. At the end, we average all feature values across all areas.





- Image/spatial space: compute a Gabor filter bank and apply it to the image through convolution. Since the Gabor filter is complex, we take absolute values of the resulting complex numbers to map back to real numbers. Most image processing libraries (OpenCV, scikit-image) provide implementations for Gabor kernels.
- Once we have the filtered images (i.e. shown in the right hand columns on the pages before with the usual approaches of histograms or moments. We typically select 3-7 directions ($0 \le n \le n$) and 2-5 scales (requencies, 1/2 usually measured in pixels and ranging from 0.05 to 0.5). With a large number of filters, the moments are again a better choice to reduce the number of dimensions and avoid the complexity of quadratic distance functions.
 - With moments, we simply treat the absolute values in the filtered image as the raw data points and compute mean, variance, skewness, and Kurtosis on these values. To further reduce the number of dimensions, it is possible to select only the first 2 or 3 moments. Let *f_i(x, y)* be the filtered (complex) image representation after applying the *i*-th Gabor filter. We obtain:

$$\mu_{i} = \frac{1}{N \cdot M} \sum_{x,y} |\tilde{f}_{i}(x,y)| \qquad \qquad \nu_{i} = \frac{1}{N \cdot M} \sum_{x,y} (|\tilde{f}_{i}(x,y)| - \mu_{i})^{2}$$
$$s_{i} = \frac{1}{N \cdot M} \sum_{x,y} \left(\frac{|\tilde{f}_{i}(x,y)| - \mu_{i}}{\sqrt{\nu_{i}}} \right)^{3} \qquad \qquad k_{i} = \frac{1}{N \cdot M} \sum_{x,y} \left(\frac{|\tilde{f}_{i}(x,y)| - \mu_{i}}{\sqrt{\nu_{i}}} \right)^{4}$$

The overall feature is simply the concatenation of all moments across all filters.

4.4.6 Feature Extraction – Shape Information (Step 3 & 4)

- In this section, we consider three approaches to define shape features.
 - Identify key shape related features in the entire image. There are no segments or objects taken into account, i.e., the features are global for the image.
 - Given a segmentation of the image into objects/blobs, describe the shape of this region to retrieve similar shape from the database. This also works for 2D/3D objects.
 - Identify key points of interest in the picture and describe these points to identify similar objects.
 This method is used for stitching of panorama images, object recognition, and motion detection.
- Global Features: similar to the texture features, but more interested in the contours apply an edge detector to obtain moutlines (the first two steps are the same as before with texture).
 - 1. Apply Gaussian filter to smooth the image and to remove noise or compression artifacts
 - 2. Compute gradients with their magnitude and direction (as seen before, Sobel operators)
 - 3. Eliminate values that are not a local maximum in the positive/negative direction of the gradient
 - 4. Identify strong edges (magnitude above high threshold) and weak edges (magnitude between low and high threshold) and eliminate values below low threshold.
 - 5. Track edges and eliminate isolated weak edges. Keep only weak edges if in their immediate proximity, there is a strong edge.

- With the edges, we now can summarize the directions of these edges (the magnitudes have been eliminated in the process) with histograms. The examples on the right side are from an early prototype by Vailaya (1996), Michigan State University.
 - The histograms are normalized by the number of edge pixels and sum up to 1. The step size was 10 degrees hence 36 bins for the histograms.
 - Comparison between histograms is based on the usual distance function. Again, a quadratic distance function is recommended to account for the similarity between angles
 - The feature is translation and scale invariant. With appropriate normalization of the image, we can achieve lightning invariance. However, it is not rotational invariant.
 - To obtain rotational invariance, we need to determine the principle direction and rotate the image such that the principle direction points, for example, upwards. The principle direction is the weighted sum of the original gradients, with the magnitude as weights.



- The Angular Radial Partitioning by Chalechale (2003) follows a similar approach to detect edges but uses a different approach to create histograms. The method has 5 steps
 - 1. Convert the images to grayscale, e.g., by mapping pixels to the L*-channel
 - 2. Normalize size of images to obtain comparable numbers
 - 3. Apply Canny edge detector to find strong edges in the image
 - 4. Partition the resulting edge-map into $M \times N$ radial angular partitions. *M* is the number of radial sectors, *N* the number of slices
 - 5. Count the number of edge pixels in each partition to obtain a raw histogram
 - 6. Apply a Fourier transform to the histogram and use absolute values (energy) to obtain the final feature vector

The method is depicted on the right side with an example from the paper.

- The feature is robust against translation and scale robust to small rotational changes
- The feature is robust against discrete rotations of the angle of the slice due to the Fourier transformation.
- The feature is robust against omissions of smaller details and noise during edge detection.



- The Histogram of oriented gradients dates back to 1986 but regained interest with the work of Dalal and Triggs in 2005 to detect pedestrians. The methods has since been extended and is often used as input into neural networks.
 - Step 1: compute gradients, i) r instance, with Sobel operators on a grayscale version of the image. In contrast to other approaches, HOG user unsigned gradients, i.e., the direction lies in the range π 0 to π . Values between π and 2π are related by π . Some HOG implementation let users choose between unsigned and signed gradients, but Dalal and Triggs found that this worked best for pedestrian detection.
 - Step 2: As shown in the picture below, the image is divided into cells each with 8x8 pixels. For each of the cell, HOG computes a 9-bin histogram (9) as found to be optimal for their use case) over the gradient directions of the 64 pixels and weighted by their gradient magnitudes.
 - Step 3: gradient magnitudes are variant to illumination and hence require normalization before we can compare histograms with each. Rather than normalizing the 9-bin histograms at each, results

HOG combines 4 neighboring cells and normalizes (now 36 bins)

bins. These block for all tight overlapping.

- Step 4: combine histograms to global features or keep a "bag" of local features for search.
- Optional: The HOG reatures can be used as input into machine learning algorithm. Data and Triggs used an SVV b detect pedestrians.

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of **A**pixels

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- Descriptions of blobs/regions/objects: given a set of segments, blobs or objects, we can describe the regions based on a set of simple spatial metrics. Due to different resolutions and the absence of a standard size of a pixel (unless provided by the image format), spatial metrics are often in relation to the entire image. For example:
 - Area: percentage of pixels within the segment (over the entire image)
 - Centroid: average of all x-values and of all y-values in the region (in absence of mass values)
 - Axis of Least Inertia: this is the axis which allows the rotation of the object with least energy. It is given by the line that minimizes the squared distances to the boundary of the region. This can be used to normalize regions into a primary direction
 - Eccentricity: given a bounding box in the principle direction, the ratio of length to width of the box denotes the eccentricity
 - Circularity Ratio: how closely the shape resembles a circle. There are different definitions, for instance, the ratio of the area of the smallest circle containing the region to the area of the region and many more

…and many more

An alternative approach is to normalize the position of the region (principle direction points upwards) and to measure the overlap with a predefined grid to compute histograms. The histogram values are the relative area covered by the grid. There are different ways to define the grid, for instance:

- The grid is always such that it contains the region and is a small as possible.
- With the circular structures, the center is the center of gravity, and the radius is the largest distance of a point to the center of gravity.



 Ludwig-Maximilians University Munich (Berchtold, 1997) studied methods to compare and index 2D and 3D objects
 The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the right side shows 2 complex molecule structure normalized in direction. The example on the r

the description of the structure and can be used in combination with a distance measure to find similar objects in the database

- To make the feature scale invariant, the histogram bins are normalized to sum up to 1
- Some of the features are rotation invariant (like the first partitioning). With the initial normalization to a principle direction, is given for all partitioning scheme.
- The feature is translation invariant due to the use of the center of gravity.



- Key Points of Interests: There are many approaches but we consider here only the Scale Invariant Feature Transform (SIFT). Due to the complexity of the approach, we summarize the matches. SIFT extracts features in a very robust way, so that they match again even after significant viewpoint changes. SIFT is used for object recognition, image stitching, motion tracking, and many
 - The algorithms works roughly in 4 steps
 - Identify scale-space extrema using band-pass filters (difference of Gaussians, DOG) 1.
 - 2.
 - Keypoint localization with scale; these are the resulting found of interest Orientation assignment (primary direction of the region of the reg 3.

128-d

Keypoint descriptors that can be us 1285 anity search 4.



- Step 1: We create a pyramid of images using Gaussian filters at different standard deviations σ and shown on the right side. Each octave is down sampled to a $\frac{1}{4}$ of the previous octave. For each octave, the image is progressively blurred (Gaussian filters with
 - In each octave, neighboring images are subtracted to create the difference of Gaussians (DOG) which act like edge detectors for a defined frequency band
 - The DOG image pyramid contains potential edges and point of interests. They are the local minima and maxima in the DOG.



Scale (next octave)

Scale

(first octave)

Gaussian

- Step 2: We detect the local minima and maxima in the DOG pyramid with a one pixel neighborhood. As shown in the picture on the right, where "x" marks the current pixel, we have 8 neighbors in the same plane and 9 neighbors from each the plane above and below. If the pixel is a maxima or minima in this neighborhood, mark it as such. Otherwise dismiss the pixel.
 - Starting with 5 Gaussian blurred images in each octave, we create 4 DOG images which now create 2 extrema images at each octave.
 - To thin out the number of keypoints, we dismiss all pixels whose value in the DOG is smaller than a threshold (these are points in the "flat"). We further dismiss all edges by considering the gradients. An edge has big gradient orthogonal to the edge, and a small gradient along the edge. But we are interested in corner points with two big gradients.
 - The output of step 2 is a set of keypoints with location and scale.
- Step 3: To construct a rotation invariant feature, we need to calculate a major orientation for the keypoint. SIFT accumulates a local histogram of gradient directions is added to the histogram with its magnitude as the weight. Finally, the histogram bin with the highest value corresponds to the dominant direction (if there are ties, use all directions).
 - SIFT uses the dominant direction to normalize feature gathering as shown in the next step. If several directions are found, it constructs features for all directions. The normalization allows us to compare keypoints found from different viewpoints with a simple metric.
 - The dominant direction of the keypoint is not necessarily its gradient direction.



- Step 4: keypoint as center 4x4 grid in the dominant direction size of grid dependent on scale
 finer 4x4 mesh histogram with 8 directions captures directions
 finer 4x4 mesh histogram with 8 directions captures directions
- The SIFT features are invariant to scale, translation and rotation by construction. It follows the idea of the receptive fields in the primary visual cortex of the features based on very distinct for the objects of the objects of the features are videly used for object recognition.
 SIFT features are widely used for object recognition.
 SIFT features are widely used for object recognition.



4.5 Features for Audio

- There are two definitions for sound: the first one is based on physics and describes vibrations that
 propagate in the form of audible pressure waves through a medium (gas, liquid, solid). The second
 is based on the perception through the hearing mechanism, that is, as a sensation.
- **Physics of Sound** soundwaves are generated by a source, for instance vibrations of a spectry and traverse a media as wave with a specific wavelength λ (or frequency f), pressure p (and itude or intensity, measured in decide) speed v, and direction \vec{x} .
 - The human ear perceives frequencies between 20Hz and 20kHz, corresponding to sound waves of length 17m and 17mm in air at standard conditions, respectively. The relationship between wavelength and frequency is given by the speed of the wave: $\lambda \cdot f = v$.
 - The speed of sound waves depend on the medium: in air under standard conditions, sound travels with $v = 331 + 0.6 \cdot T$ m/s with T the temperature in Celsius. In water, sound travels much faster at speeds of about v = 1482 m/s. In solids, speeds are even higher ranging from v = 4000 m/s in wood up to v = 12,000 m/s in diamonds.
 - Sound travels in concentric waves that can be reflected, refracted (when passing from one medium to another), and attenuated (gradual loss of intensity as the wave travels). With the physic properties, it is possible to locate the source of the sound (or most recent reflection point).
 - Sound pressure is the difference between local pressure and pressure of wave decibel: $L_p = 20 \cdot \log_{10}(p/p_{ref})$ with the logarithm, this adds and extra factor of 2. The logarithmic scale is necessary due to the vide dynamic range of present 0 dB is the auditory threshold adds above 120 dB may cause permanent hearing loss.

- Perception of Sound: historically, the term sound referred exclusively to the auditory perception ("that which is heard").
 Perceive frequencies between 20Hz to 20kHz
 Cat perceives frequencies between 500Hz and 79kHz.
 Bats
 range from 1kHz up to 200kHz
 - Pitch: is the perceived (primary) frequency of sound. It is a perceptual property that allows us to udge pusic as "higher" or "lower". Pitch requires a sufficiently stable and clear frequency to distinguish it from noise. It is closely related to frequency but not identical.
 - Duration: the perceived time window of a sound, from the moment it is first noticed until it is first noticed until it.
 Duration: the perceived time window of a sound, from the moment it is first noticed until it.
 Duration: the perceived time window of a sound, from the moment it is first noticed until it.
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 Duration: the perceived time window of a sound.
 Duration: the perceived time window of the perceived time.
 Duration: the perceived time window of the perceived time.
 Duration: the perceived time window of the perceived time.
 - Loudness: is the perceived level ("loud", "soft") of a signal. The auditory system stimulates over short time periods (~200ms): a very short sound is thus perceived softer than a longer sound with sical properties. Loudness perception varies with the mix of frequencies.
 - **Timbre:** The perceived spectrum of frequencies over time. Sound sources (like guitar, rock falling, wind) have very characteristic timbres that are useful to distinguish them from each other. Timbre is a characteristic description of how sound changes over time (like a fingerprint).
 - Sonic Texture escribes the interaction of different sound sources like in an orchestra or when sources like in an orchestra or when the one of busy party.
 - Spatial Location: enotes the cognitive placement of the sound in the environment (not necessarily the source) including the direction and distance. The combination of spatial location and timbre enables the focused attention to a single source (e.g., partner at a party).

- Audio signals are expressed as a amplitude signal over time. To capture the continuous signal and signal is sampled with a fixed frequency f_s . Sharpon sampling theorem states that the sampling rate limits the highest frequency f_{max} that can be resolved to half of the sampling rate $(f_{max} = f_s/2)$. As the human perception ranges between 20Hz and 20kHz, sampling rates of CDs and 44.1kHz and the one for DVD at 48kHz.
- To model human perception
 To model human perception
 space
 would average frequencies across the entire time scale and does not allow for an analysis of
 Frequency changes over time into a Short-Term Fourier Transform (STFT) applies a window
 function and computes a local Fourier transformation

$$X(t,\omega) = \sum_{n=-\infty}^{\infty} x(n) w(n-t) \cdot e^{-i\omega n}$$

window size of *N* samples the discrete between 0 and $f_{max} = f_s/2$ at steps of f_s/N Hz the complex value $X(t, \omega)$ denote the magnitude of the frequency ω at time point *t*.

- The picture on the right depicts the STFT with the red windowing function w(t) as it is applied over time. The spectrogram is then the squared magnitudes $|X(t, \omega)|^2$ over time. One can use different windowing functions.





- Feature design segmentation of signal to capture statistics over time
 frames overlap with each other
 overlapping segments encompassing several subsequent frames
 - Frame size is N = 1920. Hence, the frequency resolution of $\lim_{N \to \infty} \frac{f_s}{N} = 20.83$ Hz. This is hardly sufficient to distinguish two nusical pitches at the middle octave, but not for the first and second octave (each octave doubles the frequency). To improve frequency resolution, we could increase the window size precision along the time axis a broader range blurs the spectrum. In STFT requests with wavelets have solved this issue and provide both good time and frequency resolution.
 - Segment size: depends on the task at hand. For timbre detection (guitar, rock falling, wind) a shorter segment can be used. For spoken text, alternative segmentation approaches can be used. The 4s in the picture is a good starting point for generic audio analysis.

4.5.1 Auditory Perception and Processing

- The ear translates incoming pressure changes into electro-chemical impulses
 - The outer ear is the visible part of the organ. Sound waves are reflected and attenuated, and additional information is gained to help the brain identity the spatial location for a sound waves are reflected and attenuated, and waves are reflected and attenuated, and waves are reflected and attenuated, and additional information is gained to help the brain identity the spatial location for spatial location application amplifies sounds around 3kHz up to 100 times. This is an distinguish 's' from 'f'
 - Waves from the eardrum travel through the middle ear (also filled with air) and a series of very small bones hammer (malleus), anvil (incus), and stirrup (stapes). These bones act as a lever and amplify the signal at the oval window (stapes) and a series of very loud sounds.
 - The inner ear consists of cochlea and vestibular system Along the cochlea runs the organ of Corti (spiral corti) with the hair cells base of cochlea (date of cochlea (closest to middle ear) captures high frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds the cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to middle ear) captures low frequency sounds to cochlea (closest to cochlea (

the range of sound detection. Chemical processes adap to a constant signal focusing attention to changes.



A PLoS Biology Vol. 3, No. 4, e137 doi:10.1371/journal.pbio.0030137

- The electro-chemical impulses captured by nerve fibers particular frequency at a particular loudness level vestibular nerve transmits balance and motion information There are two pathways to the brain the primary auditory pathway (discussed below) and the reticular pathway. The latter combines all sensory information in the brain to decide which sensory event requires highest priority by the brain. The primary path is as follows:
 - The **cochlear nuclear complex** is the first "processing unit" decoding frequency, intensity, and duration.
 - The **superior colliculus** (mesencephalum) infers spectral cues from frequency bands for sound location.
 - The **medical geniculate body** (thalamus) integrates auditory data to prepare for a motor response (e.g., vocal response)
 - Finally, the auditory cortex Neurons organized along frequencies maps identify source of sound (e.g., wind) sound links
 process temporal sequences of sound



4.5.2 Generic Acoustical Features

- The first set of features describe audio files from an acoustical perspective along the domains
 - -7 Time Domain considering the raw signal in the time space (amplitude signal)
 - Frequency Domain transforming raw signal with STFT and analyzing frequencies and their energies at the given time point (see window technique)
 - Perceptual Domain modelling the perceptual interpretation of the human ear
- Feature in the Time domain (frame) we consider the amplitude signal in the time domain using a single frame F_i (see segment frame) or instance, with $f_s = 48$ kHz and a frame size of 40ms, the number of samples is N = 1920, and the hop distance between subsequent frame is 20ms.
 - Short-Time Energy (STE) measures the raw energy as a sum of squares, normalized by the frame length, with audio signals, power is usually measured as decibel (which is one-tenth of a bel, a unit introduced by the first telephony system). An increase of 10 dB denotes a power change of a factor of 10. The metric is logarithmic: $L_P = 10 \log_{10}(P/P_0)$. With that, STE for an amplitude signal x(t) within a frame F_i (hence: $1 \le t \le N$) is defined as:

$$E_{STE}(i) = 10 \log_{10} \left(\frac{1}{N} \sum_{t=1}^{N} x(t)^2 \right)$$

- **Zero-Crossing Rate (ZCR)**: counts, how often the sign of the amplitude signal over the duration of the frame F_i (e.g., from positive to negative values) changes:

$$ZCR(i) = \frac{1}{2N} \sum_{t=2}^{N} |sgn(x(t)) - sgn(x(t-1))|$$

- Entropy of Energy (EoE) abrupt changes in the energy of the audio signal within a frame F_i to this end, the frame is divided in L sub-frames of equal length spanning the entire frame. For each sub-frame S_i , the energy is measured and normalized by the total energy of the frame to obtain a sequence of "probabilities" that sum up to 1. The entropy of these "probabilities" is the Entropy of Energy. Choose L and N_{sub} such that $N = L \cdot N_{sub}$.

$$H_{EoE}(i) = -\sum_{l=1}^{L} e(i,l) \cdot \log_2 e(i,l)$$

$$e(i,l) = \frac{\sum_{t=l \cdot N_{sub}}^{(l+1) \cdot N_{sub} - 1} x(t)^2}{\sum_{t=1}^{N} x(t)^2}$$

- **Feature in the Time domain (segment)** The following features summarize statistics across a segment S_j with M frames. Consider to instance, a segment length of 4s, a frame size of 40ms and a frame hop distance of 20ms, then the number of frames is M = 199 (or M = 200 depending on how to treat the last frame that partially is in the segment and partially outside the segment).
 - Low Short-Time Energy Ratio (LSTER): denotes the percentage of frames in the segment whose STE is below a third of the average STE across the segment S_j. Speech signals have a higher variation due to pauses between syllables.

$$r_{LSTER}(j) = \frac{1}{M} \sum_{i=1}^{M} \begin{cases} 1 & E_{STE}(i) < \frac{\mu_{STE}(j)}{3} \\ 0 & \text{otherwise} \end{cases} \qquad \mu_{STE}(j) = \frac{1}{M} \sum_{i=1}^{M} E_{STE}(i)$$

 High Zero-Crossing Rate Ratio (HZCRR): speech signals have much more zero-crossings than a typical music signal, and the variations is much higher (due to breaks between syllables). The HZCRR over a segment S_i is defined as:



- Feature in the Frequency Domain (frame) Fourier transformed signal $X(i, \omega)$ denotes frequency spectrum of frame F_i with $0 \le \omega \le f_s/2$ and with steps $\Delta \omega = f_s/N = 25$ Hz $X(i, k) = X(i, \omega(k))$ with $\omega(k) = k \cdot f_s/N$.
 - Spectral Centroid (SC) denotes the gravity center of the spectrum in the weighted average frequency in the spectrum of the frame K = N/2 1. Hence:

$$SC(i) = \frac{\sum_{k=0}^{K} \omega(k) |X(i,k)|}{\sum_{k=0}^{K} |X(i,k)|}$$

The centroid describes the "sharpness" of the signal in the frame. High values our 35% is signals skewed at higher frequencies.

- **Spectral Roll-off** (ω_r): denotes the frequency ω_r such that the sum of magnitudes with frequencies smaller than ω_r is C = 85% of the total sum of magnitudes. Hence, we look for a value $0 \le r \le K$ as follows (other values for $0 \le C < 1$ are possible).

 $\omega_r = \omega(r)$ with *r* smallest value that fulfills: $\sum_{k=0}^r |X(i,k)| \le C \cdot \sum_{k=0}^K |X(i,k)|$

Related to the spectral centroid, it measures how skewed the spectrum is towards higher frequencies which are dominant in speech.

- Band-Level Energy (BLE) sum of energy within a frequency range weighting function w(k)

$$BLE(i) = 10 \log_{10} \left(\sum_{k=0}^{K} |X(i,k)|^2 \cdot w(k) \right)$$

Spectral Flux (SF): describe the squared differences of normalized magnitudes from the previous frame. It provides information of the local spectral rate of change. A high value indicates a sudden change of magnitudes and thus a significant change of perception (only for *i* > 1):

$$SF(i) = \sum_{k=0}^{K} \left(\frac{|X(i,k)|}{\sum_{k=0}^{K} |X(i,k)|} - \frac{|X(i-1,k)|}{\sum_{k=0}^{K} |X(i-1,k)|} \right)^{2}$$

 Spectral Bandwidth (SB): denotes the normalized magnitude weighted deviation from the spectral centroid. It describes the expected distance of frequencies from the spectral centroid.

$$SB(i) = \sqrt{\frac{\sum_{k=0}^{K} |X(i,k)| \cdot (\omega(k) - SC(i))^2}{\sum_{k=0}^{K} |X(i,k)|}}$$

 Feature in the Frequency Domain (segment): to summarize a segment, we can use again moments and histograms over the frame values for the various features above.

- Feature in the Perceptual Domain (frame): the human ear and the interpretation of sound wave differs significantly from the raw physical measures. For instance, loudness is a measure of the energy in the sound wave. The human perception, however, amplifies frequencies differently, especially the ones between 2 and 5 kHz which are important for speech recognition. The following measures take perception into account.
 - Loudness: perception of the sound pressure level depends on the frequency as shown on the figure on the upper right side. the international standard IEC 61672:2003 defined different side. The A-weighting curve is the most frequently used despite that it is only "valid" for low-level sounds. In addition, the human auditory system averages loudness over a 600-1000ms interval.

$$L(i) = \frac{10}{O} \sum_{o=0}^{O-1} \log_{10} \left(\frac{1}{K} \sum_{k=1}^{K} A(k) \cdot |X(i-o,k)|^2 \right)$$





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- Mel Frequency Cepstral Coefficients (MFCC): represents the spectrum of the power spectrum over Mel frequency bands. The Mel frequency bands approximate the human auditory system.
 - Fourier Transform: compute the Fourier transform over the frame F_i . Here, we do not use a 1. windowing function as with the STFT. Let N be the number of samples in the frame F_i and f_s be the sampling rate (e.g., N = 1920, $f_s = 48$ kHz)

Mel-Frequency Spectrum: the spectrum is computed over Mel frequency bands. Let B be the 2. Typically, we have B = 26, $f_{lower} = 300$ Hz, and $f_{upper} = 8000$ Hz. First, we create the bands. The conversion from frequencies is mels and vice versa is as follows: $mel(f) = 1125 \cdot \ln\left(1 + \frac{f}{700}\right)$ $freq(m) = 700 \cdot \left(e^{\frac{m}{1125}} - 1\right)$

The bands are triangle shaped windowing functions in the frequency space. Three frequencies define the start point, the middle point, and the end point. Two bands overlap with each other: the start point of a band is given by the middle point of the previous band. Bandwidth Center frequency ~ B + 2 frequencies given by $(0 \le b \le B + 1)$ 0.5 -

 $f_c(b) = freq\left(mel(f_{lower}) + b \cdot \frac{mel(f_{upper}) - mel(f_{lower})}{B+1}\right)$

3500

2500

2000 Erequency [Hz]

1000

1500

3000

With the frequencies $f_c(b)$, we can define now the windowing function d(b, k) over the

Fourier coefficients X(t,k) for a given time point t. The shape has a triangle form:

 $d(b,k) = \begin{cases} 0 & \text{if } \omega(k) < f_c(b-1) \\ \frac{\omega(k) - f_c(b-1)}{f_c(b) - f_c(b-1)} & \text{if } f_c(b-1) \le \omega(k) \le f_c(b) \\ \frac{\omega(k) - f_c(b+1)}{f_c(b) - f_c(b+1)} & \text{if } f_c(b) \le \omega(k) \le f_c(b+1) \\ 0 & \text{if } w(k) \ge f_c(b+1) \end{cases}$

This finally allows us to compute the Mel-frequency spectrum with a simple sum over the magnitude values of the Fourier coefficient, weighted by each of the *B* bands. This leads to

$$M(t,b) = \sum_{k=0}^{N/2-1} d(b,k) \cdot |X(t,k)|$$

3. Cepstral Coefficients: the cepstrum can be interpreted as a spectrum of a spectrum. The newer variant of MFCC computes the coefficients of a discrete cosine transformation and uses the first half of the coefficients. If we started with B = 26, we now obtain 13 cepstral values c(t, b) with $1 \le b \le B/2$:

$$c(t,b) = \sum_{j=1}^{B} M(t,j) \cdot \cos\left(\frac{b(2j-1)\pi}{2B}\right) \quad \text{with } 1 \le b \le B/2$$

4. Derivatives: the actual MFCC features are a combination of the cepstral values c(t, b) and the first and second order derivatives. The derivatives describe the dynamic nature of spoken text. With 13 cepstral coefficients, we obtain 39 feature values:

 $\Delta c(t,b) = c(t+1,b) - c(t-1,b)$ $\Delta \Delta c(t,b) = \Delta c(t+1,b) - \Delta c(t-1,b)$

 $MFCC(t) = [c(t, 1), \dots, c(t, B/2), \Delta c(t, 1), \dots, \Delta c(t, B/2), \Delta \Delta c(t, 1), \dots, \Delta \Delta c(t, B/2)]$

MFCC standard features for speech recognition cepstral coefficients cluster into *l* clusters quantize vector to create *l* states mapping form a series of state transitions to a phonem

- It is also possible to search directly on the phonem stream. The due (....) ds (.....) ds (....) ds (....) ds (....) ds (....) ds (....) ds (..
- Feature in the Perceptual Domain (segment) we can compute moments or histograms of the perceptual features across frames in a segment as before. The standard deviation of the 2^{nd} MFCC coefficient c(t,2) or instance, is very discriminative to distinguish speech from music.

4.5.3 Music Features (Pitch Contour)

- Chroma based features closely relate to the twelve different pitch classes from music {C, C#, D, D#, E, F, F#, G, G#, A, A#, B}. Each pitch class
 F, F#, G, G#, A, A#, B}. Each pitch class
 C, stands for all possible pitches at all octaves. All pitches relate to each other by octave. If two pitches of the same class lie an octave apart, their frequency has the ratio of 1:2 (or 2:1).
 - Each pitched instrument produces a combination of sine waves, the so-called partials. The combination with its own frequencies and changes of amplitude over time define the characteristic timbre of the instrument. The human auditory system is extremely advanced to recognize timbres and to distinguish instruments (but also voices) from many audio sources.
 - The fundamental is the partial with the lowest frequency corresponding to the perceived pitch.
 Harmonics frequency frequency instrument is often such that all partials come close to harmonic frequencies.
 - Overtone refers to all partials excluding the fundamental. The relative strength of the overtones
 define the characteristic timbre of an instrument as it changes over time.

The pitch standard A440 so known as A4 of Stuttgart pitch) defines the A of the middle C at f_{A4} = 440 Hz and serves as a tuning standard for musical instruments. If we number the pitch classes (also called semitones) with n = 0 (C), ..., n = 11 (B) semitones in the octave o with $-1 \le o \le 9$ as follows (D number would be 12(o + 1)+n; A4 has number 69):

$$f_{A440}(o,n) = f_{A4} \cdot 2^{\frac{120+n-57}{12}} = 440 \cdot 2^{\frac{120+n-57}{12}}$$

• Table of note frequencies (standard piano key frequencies)

Octave → Note↓	<i>o</i> = −1	<i>o</i> = 0	o = 1	<i>o</i> = 2	<i>o</i> = 3	<i>o</i> = 4	<i>o</i> = 5	<i>o</i> = 6	o = 7	<i>o</i> = 8	o = 9
C (<i>n</i> = 0)	8.176	16.352	32.703	65.406	130.81	261.63	523.25	1046.5	2093.0	4186.0	8372.0
C♯ / D♭ (n = 1)	8.662	17.324	34.648	69.296	138.59	277.18	554.37	1108.7	2217.5	4434.9	8869.8
D (n = 2)	9.177	18.354	36.708	73.416	146.83	293.66	587.33	1174.7	2349.3	4698.6	9397.3
E♭ / D♯ (n = 3)	9.723	19.445	38.891	77.782	155.56	311.13	622.25	1244.5	2489.0	4978.0	9956.1
E (n = 4)	10.301	20.602	41.203	82.407	164.81	329.63	659.26	1318.5	2637.0	5274.0	10548.1
F (<i>n</i> = 5)	10.914	21.827	43.654	87.307	174.61	349.23	698.46	1396.9	2793.8	5587.7	11175.3
F♯ / G ♭ (n = 6)	11.563	23.125	46.249	92.499	185.00	369.99	739.99	1480.0	2960.0	5919.9	11839.8
G (n = 7)	12.250	24.500	48.999	97.999	196.00	392.00	783.99	1568.0	3136.0	6271.9	12543.9
Ab / G $ particle arrows (n=8)$	12.979	25.957	51.913	103.83	207.65	415.30	830.61	1661.2	3322.4	6644.9	
A (n = 9)	13.750	27.500	55.000	110.00	220.00	440.00	880.00	1760.0	3520.0	7040.0	
B♭ / A ♯ (n = 10)	14.568	29.135	58.270	116.54	233.08	466.16	932.33	1864.7	3729.3	7458.6	
B (<i>n</i> = 11)	15.434	30.868	61.735	123.47	246.94	493.88	987.77	1975.5	3951.1	7902.1	

• Extracting pitch information frequencies from the STFT to a chromavalue corresponding to the pitch class numbering as introduced above. We use again the A440 standard with $f_{ref} = 440$ Let w(k) = k for the frequency mapping of the *k*-th Fourier sampling rate f_s and with *N* samples. Then the chromavalue (pitch class p(k) and octave o(k)).

$$p(k) = \left\lfloor 9.5 + 12\log_2\left(\frac{k}{N \cdot f_s}\right) \right\rfloor \mod 12 \qquad \qquad o(k) = \left\lfloor \frac{1}{12} \left(9.5 + 12\log_2\left(\frac{k}{N \cdot f_r}\right) \right) \right\rfloor$$

- We can obtain a chroma related histogram by summing over the power spectrum using above mappings to obtain the pitch class and octave. A histogram vector for frame F_i is then:

$$h_{chroma}(i, o, p) = \frac{1}{\sum_{k=0}^{K} |X(i, k)|^2} \cdot \sum_{k=0}^{K} \begin{cases} |X(i, k)|^2 & \text{if } o = o(k) \land p = p(k) \\ 0 & \text{otherwise} \end{cases}$$

- However, this does not allow to obtain the main pitch contour (or pitches if polyphonic) but simply provides a mapping to chroma values. We can estimate the fundamental f_0 in a time window if we search for the frequency which maximizes the sum of magnitudes over all its harmonics.

$$f_{0} = \frac{f_{s}}{N} \cdot \max_{k} \left(\sum_{m=1}^{M} g(k,m) \cdot |X(i,km)| \right) \qquad \qquad g(k,m) = \frac{\omega(k) + 27}{\omega(km) + 320} = \frac{k\frac{f_{s}}{N} + 27}{km\frac{f_{s}}{N} + 320}$$

g(k,m) is an empirically obtained function to weight the contributions of the different harmonics. The number M is the number of considered harmonics and depends on the maximum frequency available in the spectrum.

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- With the fundamental f_0 , we obtain the pitch class $p(f_0)$ and the octave $o(f_0)$ of the time window. To extract several fundamentals from the frame, we repeat the following steps:
 - 1. Compute the magnitude spectrum $|X^{(0)}(i,k)|$
 - 2. Iterate t = 0, 1, ... as long as $\sum_{k=0}^{K} |X^{(t)}(i, k)| > \epsilon$
 - Compute f_0 on the magnitude spectrum $|X^{(t)}(i,k)|$
 - Adjust the magnitude spectrum, i.e., subtract the magnitudes of the harmonics of the computed fundamental f_0 to obtain $|X^{(t+1)}(i,k)|$
- Alternatively compute fundamental frequency f_0 in time domain autocorrelation time shifts Δt $1/f_{min} \ge \Delta t \ge 1/f_{max}$ time shifts are integer multiples of the sampling period $\Delta t = m/f_s$

$$R(i,m) = \sum_{t=m}^{N} x(i,t) \cdot x(i,t-m)$$

To obtain the fundamental, we search for the lag m_0 that maximizes the autocorrelation and compute the frequency from this lag:

$$f_0 = \frac{f_s}{m_0} \qquad \qquad m_0 = \operatorname*{argmax}_{f_s/f_{min} \ge m \ge f_s/f_{max}} R(i,m)$$

- Another music related feature tempo
 Largo (40-60 bpm), Larghetto (60-66 bpm), Adagio (66-76 bpm), Andante (76-108 bpm), Moderato (108-120 bpm), Allegro (120-168 bpm), Presto (168-200 bpm), and Prestissimo (200+ bpm)
 Pop music
 120 bpm
 - Beat tracking is the search for regular onsets of energy at the beat intervals. With 100 bpm, we should observe an increase of energy at intervals of around 10ms (depending on the accuracy of the musician) indicating the beats. But it is not that straightforward as the example below shows:



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- Onset envelope calculation (positive) slope on the energy mel spectrum $|X_{mel}(i,b)|$ weighted function from the frequency spectrum similar to the spectral flux only consider positive slopes (hence onsets)

$$o(i) = \sum_{b=1}^{B} \max\left(0, \frac{\log_{10} |X_{mel}(i,b)|}{\log_{10} |X_{mel}(i-1,b)|} - 1\right)$$

- We can estimate global tempo through autocorrelation over onset o(i) time shift Δt such that peaks in onset function coincide tempo per frame tempogram

$$a(i,l) \underbrace{\sum_{j=1}^{W} w(j) \cdot o(i) \cdot o(i+j)}_{j=1}$$

The tempo is given by the lag l_0 with the highest autocorrelation and we can convert to be the period of the second s



$$C(\{t_i\}) = \max_{\{t_i\}} \left(\sum_{i=1}^T o(f_s \cdot t_i) + \alpha \sum_{i=2}^T F(f_s \cdot (t_i - t_{i-1}), l_0) \right) \qquad F(\Delta l, l_0) = -\left(\log \frac{\Delta l}{l_0} \right)^2$$

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4.5.4 Search for Tunes (Search by Humming)

- With music, the tune is an important piece of information. Acoustical features like beat, tempo, or pitches are not sufficient for music related search. A tune, played a different pitch levels still appears similar. A tune at a slower tempo still appears similar. Hence, we need a better way to describe a tune variations of it:
 - musipedia.org is a website offering different type of tune related searches including contour search and search by humming. The idea of contour search is to describe the relative changes of the tune. For each new pitch, we note:
 - D (down) if the preceding pitch was higher (tune goes down)
 - **U** (up) if the preceding pitch was lower (tune goes down)
 - S (same) / R (repeat) if the preceding pith is the same (tune stays flat)

This transforms the stream of pitches to a stream with three terms (D, U, S). In this simple case, the duration of a pitch and pauses between pitches are ignored.

- To search for music, one can hum the tune and the recording interface translates the humming into a sequence of terms following the above notation. The search becomes a simple string search in a database of songs.
- There are many variations for contour search
 additional terms
 duration is not normalized
 pitch differences
 porter for the more professional users.


4.6 Features for Video

- Video retrieval is a combination of image, audio, and text (subtitles) retrieval. But beyond these
 basic stream related capabilities, there are a number of additional concepts which we consider in
 this section:
 - Segmentation (shot detection, scenes)
 - Motion Detection

There are many more topics in video retrieval but we limit this section to the topics above.

- Video segments are modeled at four different levels:
 - Frame: an individual image in the video defining the shortest visual change rate (e.g., 25 frames per second). Although the audio channel has a much higher resolution, the visual channel is often used as finest granularity.
 - Shot set of frames recorded in a single shooting shot encompasses all image, audio and subtitle information smallest unit for search
 - Scene set of shots that share common semantics A scene is often coherent and consistent in terms of time and location
 - Episode: a set of scenes forming the episode. A movie has often just a single episode, a series may consist of dozens or even hundreds of episodes. Episode segmentation is often at the physical distribution layer (i.e., different files or disks or media); but an episode can also span across several physical carriers.

4.6.1 Shot Detection

- A shot consists of frames from a single camera shooting. Shot boundaries change the perspective within a scene, or change time and location of the setting if they also mark a new scene. A common characteristic is the rapid change of image information depending on how the shot transition is rendered:
 - Hard cuts: there is no cross-over between two subsequent shots and a clear (hard) delineation between the last frame and the first frame of the shots. A hard cut is marked by an abrupt change in the image stream.
 - Soft cuts: the two shots are intertwined with each other changing from one shot to the other over the course of multiple frames. Fade in/out, swipes, and other visual effects mark the change of two shots. In contrast to hard cuts, there is no frame that marks the end or the start but a sequence of shared frames for the visual transition effect.
 - Hard cuts are often used for camera changes within the same scene like in a discussion between two people changing the viewpoint from one speaker to the other. Soft cuts often occur to visually mark the end of a scene and to direct the attention of the viewer to time and/or location change.
- Indicators of shot boundaries can be found in the video stream. An encoder uses an I-frame if the changes between subsequent frames is too large for a differential (prediction) approach. However, Iframes are also frequently used to allow for quick navigation within the video and occur at frequent intervals. They are hence not often useful for shot detection but can help to reduce some of the efforts.

• Shot Detection (hard cuts)

- A hard cut is an abrupt change of the image stream. In principal, we need a similarity function between two subsequent frames and a threshold that lets us detect a shot (if similarity is below that threshold). Often, we compute distance between subsequent frames rather than similarity values. In this case, a threshold is needed such that distances larger than the threshold indicate a shot boundary.
 - Pixel based comparison: a naïve approach is to consider the changes per pixel along the time scale and compute a distance between subsequent frames *f*(*x*, *y*, *i*) and *f*(*x*, *y*, *i* + 1) as follows (*f*() is vector function returning red, green, blue channels):

$$d_{naive}(i) = \sum_{x,y} |f(x, y, i) - f(x, y, i - 1)|$$

The problem with this approach is that it is not very robust against camera movements and object movements. A small shift of the camera may lead to very large distances.

• Histogram / Moments Comparison (see) translation, rotation, and scale invariance only the luminance values from two different shots have quite different luminance values the standard approach is histogram over luminance values. Let h(i) denote the histogram (or feature vector) for a frame. We then obtain a better distance measure (we can either use Manhattan distance or a quadratic function):

$$d_M(i) = |\boldsymbol{h}(i) - \boldsymbol{h}(i-1)|$$

$$d_Q(i) = \boldsymbol{h}(i)^{\mathsf{T}} \mathbf{A} \boldsymbol{h}(i-1)$$

- To learn the best threshold, we already considered the ROC curve in chapter 1 as an excellent tool. Let *f_n(x)* denote the distribution of distances between two frames belonging to the same shot, and *f_p(x)* denote the distribution of distances between two frames from different shots. A threshold *T* is defined such that:
 - $d_m(i) < T$ denotes that frame *i* belongs to the same shot (no shot boundary; negative case)
 - $d_m(i) \ge T$ denotes that frame *i* belongs to a new shot (shot boundary; positive case) We now can compute the false/true positive/negative rates as defined in chapter 1:

$$TPR(T) = \int_{T}^{\infty} f_p(x) \, dx$$
$$FNR(T) = \int_{-\infty}^{T} f_p(x) \, dx$$
$$FPR(T) = \int_{T}^{\infty} f_n(x) \, dx$$

The best threshold T depends on our objective function, but

typically we would select T such that accuracy is the highest The ROC table provides a simple tool to compute T.



• Shot Detection (soft cuts)

- The detection method above works well for hard cuts but it struggles with visual effects between shots. For instance, a slow fade-out, fade-in effect does not change subsequent images enough to trigger the threshold; but after some time, the image has changed significantly.
- A first alternative is to model the different transition effects. A fade-out, fade-in is simple to detect (all black screen). Swipes (horizontal or vertical) are splitting the image into two parts (one part from the old shot, one part for the new shot) and gradually change the ratio between the parts. But it takes a lot of coding to model all the visual effects, and new effects can not be detected.
- **Twin Thresholding** is a generic approach to identify visual transitions from one shot to another. It works with two thresholds: threshold T_c detects (hard) changes between two frames similar to hard cuts. A new threshold T_s is much lower and more sensitive. If the difference exceeds this threshold, it marks the potential begin of a soft cut. The current frame is kept as the reference image and we keep this reference for the next frames until a) the difference to the reference frame exceeds T_c (soft cut detected), or b) the difference falls below T_s again (no cut after all). In both cases, we release the reference frame and use the current frame as a new reference.



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4.6.1 Shot Detection

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4.6.2 Motion Detection

- Motion detection has several use cases:
 - Motion compensation in video encoding helps to encode frames with previous frames and the relative motion of blocks. This reduces the number of bits required to encode a frame
 - Surveillance cameras detect and track motion of objects. Often cameras are stationary are objects move in front of the camera.
 - Optical flows analyze relative movements of camera (observer) and objects in the scene. In the area of robotics, optical flows allow to estimate movements and the 3D structure of a scene.
- Detecting Moving Objects: The basic assumption is that the camera is stationary and moving objects are the important pieces. We want to identify movements (to trigger an alarm) and the motion vectors of these objects (to track them). The model is fairly straightforward



Simple Background Subtraction: the background image is a static, arbitrarily selected image.
 We assume that the background image does not contain any moveable objects. Pixels are label with "white" if they belong to an object moving, or "black" if they are background.



- Good starting point to extract shape of an object. However, sensitive to illumination changes (weather, position of sun). If the background image changes over time (permanent change of scene), a negative ghost image remains.
- Very sensitive to any movement in the picture even if unimportant (for instance, leaves of a tree moving with the wind, reflections of sunlight)
- Only works if the camera is absolutely static (also no zoom or tilt).

 Simple Frame Differencing: instead of a static background image, we build differences between subsequent frames. This allows to adjust to changes over time.



- Robust to scene changes over time; very quick to adapt to lightning changes or even camera motion (incl. zoom and tilt).
- Objects that stop are no longer recognized. If they start again, they leave a negative ghost
- Only changes in the direction of movements are detected. If an edge of an object moves has the same orientation, that edge is not visible. As seen above, only a partial silhouette is captured: the front and the back, but not the top and the bottom part.

- **Three Frame Differencing**: with the simple frame differencing, we compared subsequent frames. If we enlarge the temporal distance between two frames to compare, we find more complete silhouettes but also two copies of the objects (its starting point and its current point). To eliminate copies, the three frame differencing compares with a frame in the past (say t - 15) and a frame in the future (say t + 15). The intersection of the two images leads to the current location of the moving object. Note: this means a delay in the identification of the objects current position.



 Choice of good frame-rate and temporal distance between images depend on size and speed of objects. With the example above, the current position is the intersection of the two difference images (one for the past, one for the future).

Images by Robert Collins

 Motion History Images: we compute differences between subsequent images to obtain motion images which are then combined with a linear decay over time. The motion history images provide an impression from where the object is coming.



• We obtain the current motion histogram from the previous one by subtracting a closen value γ (negative values are zeroed) and combining it (max function) with the current motion image. The decay parameter γ adds gray values to the motion history motion was detected (the darker the earlier). The larger γ is the shorter the history of object motions. The motion history images summarizes how much motion occurred over a given time period. We can use it o summarize motion aspects into a feature vector motion by Robert Collins

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- Shadow Elimination difference methods detect moving objects with shadows additional motion distinguish a shadow color chromaticity shadow change illumination the background color but change intervention and the background color but change intervention improved differencing method of two frames:
 - Instead of building differences between pixels of two images, we first map the images to a chromaticity sub-space (e.g., a*b* or HS) ignoring the luminance aspects. The thresholding eliminates shadows but keeps objects (if they are sufficiently different in terms of color than the background).

differencing method: $|B_{chroma}(x, y) - I_{chroma}(x, y, t)| > \tau$



- Optical Flow: the previous methods only work for stationary cameras (also no zoom or tilt). To detect motion in arbitrary videos, other methods are required. The most prominent approach is known as the Lucas-Kanade algorithm.
 - The basic assumption is brightness constancy, i.e., no abrupt changes in brightness of a pixel across solution path over time. Let I(x(t), y(t), t) denote the brightness of a pixel with its path [x(t), y(t)] as a function over time.

I(x(t), y(t), t) = const for small changes of t

- Let us track the pixel from the frame at time *t* to the subsequent frame ($t + \Delta t$) Δt the time difference between two frames (0.04s with 25 frames per second). The between two frames (0.04s with 25 frames per second) with 25 frames per second per second

$$I(x(t), y(t), t) = I(x(t + \Delta t), y(t + \Delta t), t + \Delta t) = I(x(t) + u, y(t) + v, t + \Delta t)$$

$$I(x(t) + u, y(t) + v, t + \Delta t) \approx I(x(t), y(t), t) + \frac{\partial I}{\partial x}u + \frac{\partial I}{\partial y}v + \frac{\partial I}{\partial t}\Delta t$$

$$0 \approx \frac{\partial I}{\partial x}u + \frac{\partial I}{\partial y}v + \frac{\partial I}{\partial t}\Delta t$$

- The partial derivatives are the brightness gradients in x, y and t dimension. We can compute $\frac{\partial I}{\partial x}$ and $\frac{\partial I}{\partial y}$ with a Sobel operator to obtain $I_x(x, y)$ and $I_y(x, y)$ at time t. The term $\frac{\partial I}{\partial t}\Delta t$ is the difference $I_t(x, y)$ of brightness between subsequent frames at time t and $t + \Delta t$.

We obtain the final equation for our motion estimate:

 $I_x(x,y) \cdot u + I_y(x,y) \cdot v = -I_t(x,y)$ at

at time t

Given that we can observe the partial derivatives I_x , I_y and I_t , we have to solve the above linear equation for u and v to obtain the motion vector for the pixel at (x, y) and time t. As we see we have only one equation but two unknowns. Hence, there are many possible solutions. If (u, v) is a solution, then (u + u', v + v') is a solution if (u', v') is perpendicular to $(I_x(x, y), I_y(x, y))$ other words, we can not measure the motion along an edge but only perpendicular to edges. This is known as the **aperture problem**:





Other Examples: https://en.wikipedia.org/wiki/Motion_perception

http://farm5.static.flickr.com/4044/4172972319_ 7c070bdcbb_o.gif – Lucas-Kanade solved the aperture problem by considering a 5x5 window around the current pixel assuming that motion in such a small window is approximately the same. This then leads to 25 equations for the two unknowns:



Since more equations than unknowns no exact answer Instead minimize $\|Ad - b\|^{2}$ $\begin{bmatrix} (A^{T}A) & d = A^{T}b \\ \begin{bmatrix} \sum l_{x}l_{x} & \sum l_{x}l_{y} \\ \sum l_{x}l_{y} & \sum l_{y}l_{y} \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} -\sum l_{x}l_{t} \\ -\sum l_{y}l_{t} \end{bmatrix}$ $\begin{bmatrix} a \\ -\sum l_{y}l_{t} \end{bmatrix}$ $\begin{bmatrix} A^{T}A & a \\ -\sum l_{y}l_{t} \end{bmatrix}$ The summations over 5x5 window $A^{T}A & a \\ a \\ corner points \end{bmatrix}$ $A^{T}A & b \\ corner points \end{bmatrix}$

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 The basic method works only well for small displacements. For large displacements, we can use a Gaussian pyramid of the image and estimate flows at each scale:



Optical Flow: Examples (various sources)



4.7 Literature and Links

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- Frameworks and Libraries
 - OpenCV (<u>https://opencv.org</u>) is an advanced computer vision library original written for C/C++.
 But there are also bindings for Python, Java, and other languages.
 - scikit-image (<u>http://scikit-image.org</u>) is an advanced computer vision library written in Python. It provides all basic image manipulation operations as well as advanced feature extraction algorithms (however, not SIFT but alternative approaches to SIFT)
 - Librosa (<u>http://librosa.github.io/librosa/</u>) is a Python library for advances audi and music analysis.
 It provides base algorithms to create music retrieval systems.
 - scikit-video (<u>http://www.scikit-video.org</u>) is a Python library for video processing
- Interesting courses at other universities
 - Multimedia Content Analysis, National Chung Cheng University, Taiwan, <u>https://www.cs.ccu.edu.tw/~wtchu/courses/2014f_MCA/lectures.html#00</u>
 - Computer Vision, University of Washington, USA, <u>https://courses.cs.washington.edu/courses/cse455/</u>
 - Music Information Retrieval, Vienna University of Technology, Austria, <u>http://www.ifs.tuwien.ac.at/mir/</u>
 - Music Information Retrieval, New York University, USA, <u>http://www.nyu.edu/classes/bello/MIR.html</u>
 - Music Signal Processing, Columbia University, USA <u>https://www.ee.columbia.edu/~dpwe/e4896/index.html</u>
 - Computer Vision, Penn State University, USA, <u>http://www.cse.psu.edu/~rtc12/CSE486/</u>
 - Computer Vision, University of Illinois, USA, https://courses.engr.illinois.edu/cs543/sp2012/
 - Computational Photography, University of Illinois, USA, <u>https://courses.engr.illinois.edu/cs498dh/fa2011/</u>